

JOURNAL OF Engineering Science and Technology Review

Research Article

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An Optimization Model of Tunnel Support Parameters

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Received 6 April 2015; Accepted 25 May 2015

Abstract

An optimization model was developed to obtain the ideal values of the primary support parameters of tunnels, which are wide-ranging in high-speed railway design codes when the surrounding rocks are at the III, IV, and V levels. First, several sets of experiments were designed and simulated using the FLAC^{3D} software under an orthogonal experimental design. Six factors, namely, level of surrounding rock, buried depth of tunnel, lateral pressure coefficient, anchor spacing, anchor length, and shotcrete thickness, were considered. Second, a regression equation was generated by conducting a multiple linear regression analysis following the analysis of the simulation results. Finally, the optimization model of support parameters was obtained by solving the regression equation using the least squares method. In practical projects, the optimized values of support parameters could be obtained by integrating known parameters into the proposed model. In this work, the proposed model was verified on the basis of the Liuyang River Tunnel Project. Results show that the optimization model significantly reduces related costs. The proposed model can also be used as a reliable reference for other high-speed railway tunnels.

Keywords: high-speed railway; rock stability; optimization model; support parameters

1. Introduction

High-speed railways are widely used in China, and their construction necessitates the digging of many tunnels. Generally, high-speed railway tunnels are supported by composite linings, hence, the values of primary support parameters (i.e., anchor length, anchor spacing, and shotcrete thickness) are particularly critical (the support parameters described here refer to the primary support parameters for high-speed railway tunnels). However, professional norms provide a range of values for tunnel support parameters. Unfortunately, the methods for scientifically determining the best values of support parameters and their ideal combinations remain unclear. Therefore, designers must optimize the existing models of support parameters in the process of high-speed railway construction.

On the basis of extensive research data, several geological and support factors were selected for modeling on the FLAC^{3D} software. All the simulation schemes were implemented according to an orthogonal experimental design, and the results were analyzed through multiple linear regression. The model demonstrating the relation between the parameters and cost-effectiveness could then be obtained. Thereafter, the constraints for the optimization model were deduced with the least squares method. The ideal values of the support parameters could ultimately be determined by combining the values of the geological conditions and safety levels. Practical projects were used to validate the

optimization model, and the results show that the optimization model for support parameters can save the cost efficently.

2. Description of the problem

Tunnel surrounding rock is divided into six levels in the high-speed railway design code. Six levels are I, II, III, IV, V, and VI. Support parameters can easily be determined in a high-speed railway design code, when the levels of surrounding rocks are I and II. However, a range of values are specified when the levels of surrounding rocks are III, IV, and V. In practical projects, values of tunnel support parameters are mainly given by designers [1–3]. Because of the complex geological conditions, and the lack of systematic theoretical guidance, some designers tend to use conservative values to ensure tunnel safety, but such practice can result in a waste of resources and high costs, but other designers tend to use smaller supporting strength in order to save costs, and this will lead to large security risk during the process of building and operation. Therefore, how to determine the ideal values of primary support parameters which is an important issue in high-speed railway tunnel construction, when the levels of surrounding rocks are III. IV, and V [4]. Our experimental study is conducted only when the level of surrounding rocks is IV.

3. State of the art

Liu established the relationship between displacement and structural stiffness first and taken the minimum deformation

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pressure which spray anchor suffered as the objective function; finally he obtained reasonable support parameters using model optimized by penalty function [5]. Xia developed back propagation neural network model of shaft and tunnel support parameters and trained it by large number of successful engineering data [6]. Wang implemented to optimize the tunnel primary support parameters, taken its surrounding rock bolt and anchor not damaged as the objective function, and received reasonable support parameters [7]. Zheng analyzed the stress state of anchor under different arrangement, got the best layout of anchor at different levels of surrounding rock in soft rock tunnel [8]. Wang analyzed the bolting and grouting mechanism, optimized anchor grouting parameters using orthogonal design, determined the main factors, got ideal support scheme [9].

In short, although there were great developments in the surrounding rock and supporting area, many problems still existed in the theory and supporting design. Due to the lack of optimization study in high-speed railway tunnel supporting field, related research was carried out in this paper.

4. Methodology

The methodology of this research is composed of three geological factors and three supporting factors which are considered for modeling. The FLAC^{3D} finite difference program was used in this study for modeling and calculation.

4.1 Selection of parameters

Based on several project studies, theoretical analyses, characteristics of level IV rocks, and relevant specifications, the support materials used in this study are as follows: ϕ 22 cement mortar anchors with a plum-shaped layout and shotcrete of C25. The selection of the mechanical parameters for tunnel support is important because it directly determines whether the simulation results are reasonable. The values of the mechanical parameters in this study are selected on the

Table 3.	Levels of	factors and	parameters

basis of several studies on ongoing high-speed railway construction projects [10, 11]. The parameters are shown in Tables 1 and 2.

Table 1. Parameters of the cement anchor ϕ 22

	1.1.1.00	anneters or t	ne content a			
 Style suppo struct	of ort ture	Elastic modulus (E/GPa)	Bulk modulus (K/GPa)	μ	Φ/°	Sectional area (m ²)
ф cemen mortai anchoi	22 nt r r	210	120	0.2	35	0.314E-3

Table 2. Parameters of shotcrete

Style of upport tructure	Severe (KN/m ³)	Elastic modulus(E/GPa)	Poisson' ratio v	Compressi ^s ve strength (MPa)	Tensile strength (MPa)
shotcrete C25	23	29.5	0.2	12.5	1.33

Many factors affect the final results of support schemes, and the interactions between these factors are unknown; in simplifying existing models, geological and support factors are typically selected for simulation [12]. Geological factors include tunnel depth, level of surrounding rock, and lateral pressure coefficient. Support factors include anchor length, anchor spacing, and shotcrete thickness. Both the effect of the main factors and their interaction between them are unknown. However, they can be estimated through an orthogonal experimental design [13]. The experimental scheme in this work is developed with an orthogonal experiment design involving the factors and parameters are shown in Table 3. Factors such as the physical and mechanical parameters of surrounding rocks, tunnel depth H, lateral pressure coefficient λ , anchor length L, anchor spacing a, and shotcrete thickness h are considered in the orthogonal design. The physical and mechanical parameters of surrounding rock include density γ , internal friction angle φ , cohesion C, elastic modulus E, and Poisson's ratio. The levels of these parameters are shown in Table 3.

I able 5. 1	Levels of factor	s and paramete	rs							
Factor levels	Physica Density Γ (KN/m ³)	l and mechanic Internal friction angle φ (°)	al parameters Cohesion C (MPa)	of surrounding Elastic modulus <i>E</i> (GPa)	g rocks Poisson's ratio μ	Depth $H(m)$	Lateral pressure coefficient λ	Anchor length L (m)	Anchor spacing <i>a</i> (m)	Shotcrete thickness h (mm)
1	23	39	0.7	6	0.35	160	1	2.0	1.0	150
2	22.25	36	0.575	4.825	0.3375	320	1.25	2.25	1.05	167.5
3	21.5	33	0.45	3.65	0.325	480	1.5	2.5	1.1	185.0
4	20.75	30	0.325	2.475	0.3125	640	1.75	2.75	1.15	202.5
5	20	27	0.2	1.3	0.3	800	2	3.0	1.2	220.0

4.2 Modeling

 $FLAC^{3D}$ is used to modeling and calculation with aforementioned parameters. The dimensions of the numerical model are as follows: the upper depth is five times the tunnel span, the depth of the lower part is thrice the span, and the left and right sides are thrice the span. The height, width, and depth of the model are 80, 60, and 20 m, respectively. The cross section of the tunnel is a standard profile of a dual carriageway provided in the specifications. Figure 1 shows the model size, whereas Figure 2 shows the mesh.



Fig.1. Model size



Fig.2. Model mesh

High-speed railway tunnels are supported by composite linings. Anchors and shotcrete are used for primary support, whereas cast-in-place concrete is used for secondary linings [14]. The primary support tends to bear all the pressure of the surrounding rock, whereas secondary linings only serve as a safety margin and are used to improve waterproof levels [15–18]. Therefore, secondary linings are excluded in the simulation in the present work. A cable unit and shell elements in FLAC^{3D} are used for the anchor and shotcrete simulation. Figures 3 and 4 show the cable units and shell elements, respectively.



4.3 Scheme evaluation

After simulation, each supporting scheme should be evaluated for subsequent optimization. The safety degree of the plastic zone is considered as the safety level, whereas the cost-effectiveness of the schemes (i.e., the ratio of safety degree and economic indicators) is regarded as the evaluation indicator of the support schemes. The evaluation steps are as follows:

First, the convergence displacement and convergence ratio are used as the mechanical criteria for the comprehensive determination of surrounding rock stability. The rock is deemed stable if and only if the displacements and strength requirements are met [19–21]. The unstable support schemes are removed according to the said criterion.

Second, u_f is defined as the safety degree of the support scheme according to the numerical simulation results. u_f is then calculated according to Equation (1).

$$u_f(i) = \frac{V(i) - V_{\min}}{V_{\max} - V_{\min}} \tag{1}$$

where $u_f(i)$ refers to the safety degree of the i-th scheme, V(i) refers to the plastic zone volume in the i-th scheme, V_{\min} refers to the smallest volume of the plastic zone in all schemes, and V_{\max} refers to the maximum volume of the plastic zone in all schemes.

The cost A and economic indicator u_a for the remaining schemes are then calculated.

The costs of excavation per meter remain the same when the cross section is left unchanged. Thus, only the costs of the support materials are considered. With the Liaoning Province as an example, A is calculated in Yuan/m using Equation (2) according to the 2008 National Inventory Valuation Norms.

$$A(i) = \frac{K_i \times N_j \times 20}{L} + m \tag{2}$$

where A(i) refers to the cost of the i-th scheme (unit: Yuan/m), K_i refers to the cost of a single anchor (unit: Yuan), and i = 1, 2, 3. When i = 1, K_i = 36; when i = 2, K_i = 40.5; when i = 3, K_i = 45; when i = 4, K_i = 49.5; and when i = 5, K_i = 54. N_j refers to the number of anchors in a single cross section, L refers to the tunnel length (unit: m), and m is the shotcrete cost in Yuan/m. When the shotcrete thickness is 150 mm, m = 2,032; when the shotcrete thickness is 185 mm, m = 2,245; when the shotcrete thickness is 202.5 mm, m = 2,670. The economic indicator is calculated according to the cost A and Equation (3).

$$u_a(i) = \frac{A(i) - A_{\min}}{A_{\max} - A_{\min}}$$
(3)

 F_V is used as the cost-effective indicator and then calculated for each scheme.

$$F_V(i) = \frac{u_f(i)}{u_a(i)} \tag{4}$$

4.4 Optimization

Calculation of the safety degree and the cost-effectiveness of each supporting program, the relationship between supporting parameters and cost-effectiveness can be obtained by further analysis. Then, the function between them can be established through multiple linear regression analysis.

Multivariate linear regression can be expressed as follows:

$$F_{\nu} = t + b_1 \times u_f + b_2 \times H + b_3 \times \lambda + b_4 \times a + b_5 \times l + b_6 \times h \quad (5)$$

where *t* is a constant to be determined and b_i (i = 1, 2, 3, 4, 5, 6) is the partial regression coefficient. By considering *y* as F_V , the sum of the residual square in the multiple linear regressions can be expressed as follows:

$$S_{e} = \sum_{j=1}^{n} \left(y_{j} - t - b_{1}u_{j} - b_{2}H_{j} - b_{3}\lambda_{j} - b_{4}a_{j} - b_{5}l_{j} - b_{6}h_{j} \right)^{2}$$
(6)

where *n* is the number of test schemes. Let $X = [u_j, H, \lambda, a, l, h]$. The partial derivative of the sum of the residual squares to *t* and b_i is as follows:

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$$\begin{cases} \frac{\partial S_{e}}{\partial t} = -2\sum_{j=1}^{n} (y_{j} - t - b_{1}u_{j1j} - b_{2}H_{2j} - b_{3}\lambda_{3j} - b_{4}a_{4j} - b_{5}l_{5j} - b_{6}h_{6j}) \\ \frac{\partial S_{e}}{\partial b_{i}} = -2\sum_{j=1}^{n} x_{ij} (y_{j} - t - b_{1}u_{j1j} - b_{2}H_{2j} - b_{3}\lambda_{3j} - b_{4}a_{4j} - b_{5}l_{5j} - b_{6}h_{6j}) \end{cases}$$
(7)

where x_i is the i-th element in X, x_{ij} is the value of x_i in the j-th experimental scheme, and y_j is the value of y in the j-th experimental scheme. Ex_i is considered as the mean of x_{ij} , and Ey is considered as the mean of y_j . L_{ik} (i,k = 1, 2, 3, 4, 5, 6) and L_{iy} are then derived as follows:

$$\begin{cases} L_{ik} = L_{ki} = \sum_{j=1}^{n} \left(x_{ij} - Ex_{i} \right) \left(x_{kj} - Ex_{k} \right) = \sum_{j=1}^{n} x_{ij} x_{kj} - \frac{1}{n} \sum_{j=1}^{n} x_{ij} \sum_{j=1}^{n} x_{kj} \\ L_{iy} = \sum_{j=1}^{n} \left(x_{ij} - Ex_{i} \right) \left(y_{j} - Ey \right) = \sum_{j=1}^{n} x_{ij} y_{j} - \frac{1}{n} \sum_{j=1}^{n} x_{ij} \sum_{j=1}^{n} y_{j} \end{cases}$$
(8)

By expanding Equation (7) and combining it with Equation (8), the equations of the matrix form can then be obtained as follows:

$$\begin{bmatrix} L_{11} & L_{12} & \dots & L_{16} \\ L_{21} & L_{22} & \dots & L_{26} \\ \mathbf{M} & \mathbf{M} & \dots & \mathbf{M} \\ L_{61} & L_{62} & \dots & L_{66} \end{bmatrix} \begin{bmatrix} b_1 \\ b_2 \\ \mathbf{M} \\ b_6 \end{bmatrix} = \begin{bmatrix} L_{1y} \\ L_{2y} \\ \mathbf{M} \\ L_{6y} \end{bmatrix}$$
(9)

Thereafter, b_i can be solved using the least squares method. Finally, *t* can be solved using Equation (7). Then, the coefficients in the equation 5 are solved. Using Equation

(5) as a basic model, the optimization scheme can be established on the basis of the above regression analysis. According to the principle of least squares, optimization constraints can be obtained by considering the anchor length l, anchor spacing a, and shotcrete thickness h, when setting the three partial derivatives to zero in certain geological conditions and safety degrees.

$$\begin{cases} \frac{\partial F_{\nu}}{\partial a} = f_1(a,l,h) = 0\\ \frac{\partial F_{\nu}}{\partial l} = f_2(a,l,h) = 0\\ \frac{\partial F_{\nu}}{\partial h} = f_3(a,l,h) = 0 \end{cases}$$
(10)

By expanding the above equation and incorporating the values of the geological conditions and safety level into it, the detailed optimization model can be obtained.

5. Experimental results and discussion

We must first determine the effect of support parameters on rock stability to obtain the optimal model of tunnel support parameters. The stability of surrounding rock is reflected in the changes in the plastic zone and stress distribution, as well as in the displacement of the key points of tunnel cross section. Therefore, recording these changes during excavation is necessary.

5.1 Impact of support parameters

Three support parameters are considered in this study to analyze the impact of surrounding rock stability. We used anchor spacing as an example. Under the constant conditions of other factors, anchor spacing was individually changed when simulating the tunnel excavation process under different anchor spacing values. The anchor spacing values were a = 1.0, 1.05, 1.1, 1.15, 2.0 m. According to the changes in the plastic zone, the stress distribution, and displacement of the critical points in the cross section, we could analyze their effects on the stability of the surrounding rocks. The plastic zone distributions after excavation are shown in Figure 6. The major principal stress and minor principal stress distributions around the tunnel when a = 1.0m are shown in Figure 7.



Fig.6. Plastic zone distributions

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Fig.7. Stress field distribution when a = 1.0 m

Figure 7 shows the stress distribution when a = 1.0 m. The major principal stress of the arch and bottom was 4.2 MPa, that of the spandrel was 2.3 MPa, and that of the arch foot was 8.0 MPa. The minor principal stress of the tunnel



Fig.8. Stress field distribution when a = 1.05 m

Figure 8 shows the stress distribution when a = 1.05 m. The major principal stress of the arch and bottom was 4.2 MPa, that of the spandrel was 2.2 MPa, and that of the arch foot was 8.1 MPa. The minor principal stress of the tunnel periphery was 2.0 MPa.

periphery was 2.0 MPa.

in Figure 8.

The major principal stress and minor principal stress distributions around the tunnel when a = 1.1 m are shown in Figure 9.

The major principal stress and minor principal stress distributions around the tunnel when a = 1.05 m are shown



Fig.9. Stress field distribution when a = 1.1 m

Figure 9 shows the stress distribution when a = 1.1 m. The major principal stress of the arch and bottom was 3.5 MPa, that of the spandrel was 2.0 MPa, and that of the arch foot was 7.3 MPa. The minor principal stress of the tunnel

periphery was 1.2 MPa.

The major principal stress and minor principal stress distributions around the tunnel when a = 1.15 m are shown in Figure 10.

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Fig.10. Stress field distribution when a = 1.15 m

Figure 10 shows the stress distribution when a = 1.15 m. The major principal stress of the arch and bottom was 5.5 MPa, that of the spandrel was 2.4 MPa, and that of the arch foot was 8.6 MPa. The minor principal stress of the tunnel periphery was 2.1 MPa.

The major principal stress and minor principal stress distributions around the tunnel when a = 1.2 m are shown in Figure 11.



Fig.11. Stress field distribution when a = 1.2 m

Figure 11 shows the stress distribution when a = 1.2 m. The major principal stress of the arch and bottom was 3.8 MPa, that of the spandrel was 2.1 MPa, and that of the arch foot was 7.5 MPa. The minor principal stress of the tunnel periphery was 1.3 MPa.

Ten monitoring cross sections are set along the direction of the tunnel excavation, and six key points in the vault, dome, left spandrel, right spandrel, left arch foot, and right arch foot are set in each cross section before calculation to study the effect of anchor spacing on the displacement of the tunnel's surrounding rocks. The influence of changes in anchor spacing on the displacements of surrounding rocks can be shown clearly by monitoring the displacement of these key points. The displacement results are plotted in Figures 12(a) and 12(b).

Fig.12. (a) Displacements of key points

Fig.12. (b) Displacements of key points

As shown in Figure 12, the displacements of the key points are almost the same when the anchor spacing is increased from 1.0 m to 1.05 m in the same cross section. However, all of the displacements of the key points decrease when the anchor spacing is increased from 1.05 m to 1.1 m, whereas all of the displacements of the key points increase when the anchor spacing is increased from 1.1 m to 1.15 m. All of the displacements of the key points decrease when the anchor spacing is increased from 1.15 m.

We can derive the following conclusions by comparing the displacements of the six key points shown in Figure 12. The vault and dome displacements are the largest, followed by the arch and spandrel displacements. The latter excavation displacements are smaller than the earlier ones, thus indicating that the rock displacements change over time during the excavation.

In addition, the simulation results reveal the influence of anchor length and shotcrete thickness on the surrounding rock support. All figures are not listed in this paper because of space limitations.

The major principal stresses of the vault and arch bottom decrease from 2.2 MPa to 1.8 MPa, when the anchor length is increased from 2.0 m to 3.0 m. However, no significant change in the major principal stress is observed when the anchor length is increased from 2.5 m to 2.75 m. Overall, the changes in the major principal stress and minor principal stress are minimal as the bolt length increased.

Table 4. Results of the safety degree and cost-effective indicators

In terms of displacement, the displacements of the six key points on the same monitoring cross section are almost unchanged with the increase in the bolt length. These results indicate that the change in the anchor length had little effect on the stability of the surrounding rocks.

When the shotcrete thickness is increased from 15 cm to 22 cm and the other factors are unchanged, the major principal stresses of the vault and arch bottom increase from 4.0 MPa to 5.0 MPa. The major principal stress of the arch foot also increase from 2.2 MPa to 3.1 MPa. The changes of the major principal stress on the two sides of the tunnel are also similar. The changes in the main stress reflect a monotonous increase with the increase in the shotcrete thickness.

With the increase in the shotcrete thickness, the displacements of the key points in the same cross section decrease and exhibit a monotonically decreasing trend. Therefore, the increased shotcrete thickness benefitted the stability of the surrounding rocks.

5.2 Indicators of supporting schemes

The safety degree and the cost-effectiveness of each supporting program can be accessed according to the simulation results and corresponding formulas in section 4.3.The indicator results of the simulated schemes are shown in Table 4.

Test number	Safety degree	Cost	Cost-effective indicators	Test number	Safety degree	Cost	Cost-effective indicators	Test number	Safety degree	Cost	Cost-effective indicators
1	0.99	2792	3.53	18	0.77	3943	1.96	35	0.83	3421	2.42
2	0.88	3073	2.88	19	0.73	3047	2.41	36	0.85	3247	2.62
3	0.92	3268	2.81	20	0.59	2752	2.14	37	0.76	3518	2.16
4	0.88	3447	2.55	21	0.56	3157	1.76	38	0.75	3684	2.02
5	0.85	3705	2.29	22	0.75	3325	2.25	39	0.86	3390	2.53
6	0.98	3570	2.74	23	0.62	3147	1.97	40	0.78	2596	2.99
7	0.90	2769	3.25	24	0.41	3764	1.09	41	0.81	2900	2.78
8	0.79	3490	2.26	25	0.00	2977	0.00	42	0.62	2722	2.27
9	0.72	3171	2.28	26	1.00	3406	2.94	43	0.76	3339	2.27
10	0.88	3377	2.61	27	0.90	4128	2.19	44	0.70	3614	1.94
11	0.87	3846	2.25	28	0.85	2746	3.11	45	0.66	3795	1.75
12	0.84	3488	2.42	29	0.88	2952	2.97	46	0.51	3711	1.37
13	0.69	2630	2.62	30	0.82	3145	2.60	47	0.74	2891	2.57
14	0.84	2895	2.89	31	0.98	3063	3.20	48	0.60	3022	1.98
15	0.76	3582	2.11	32	0.91	3267	2.78	49	0.41	3280	1.24
16	0.82	3021	2.73	33	0.86	3533	2.43	50	0.04	3429	0.11

	2.23	3157	0.71	34	1.57	3672	0.58	17
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5.3 Optimization model

After the analysis of the numerical experiment results, the relationship between each support parameter and cost-effectiveness can be derived (Figure 13).

exists between different factors and cost-effectiveness. Anchor spacing and cost-effectiveness are positively correlated. The other parameters are negative. Therefore, a multiple linear regression model can be established as a function of support parameters and cost-effectiveness.

Figure 13 shows that an approximate linear relationship

Fig.13. Support parameters and cost-effective analysis

Table 5. Analysis of variance

Figure 13 shows that an approximate linear relationship exists between different factors and cost-effectiveness. Anchor spacing and cost-effectiveness are positively correlated. The other parameters are negative. Therefore, a multiple linear regression model can be established as a function of support parameters and cost-effectiveness.

By incorporating the values in Table 3 into Equation (9), the values of bi in the experiment can be calculated. Finally, by incorporating b_i into Equation (7), the regression equation can be obtained as follows:

 $F_{\nu} = 2.839 - 0.314A - 0.001H - 0.554\lambda - 0.182a + 2.932l - 0.073h \quad (11)$

The results of the analysis are shown in Table 5.

Variance Source	Sum of squared deviations	Freedom	Variance (mean square)	F value	F _{0.01} (6,43)	Significance
Regress	14.0644	6	2.3441	11.4124	3.239	**
Residuals	8.8336	43	0.2054			
Sum	22.898	49				

As that F > F0.01(6,43), the regression equation is highly significant.

By incorporating the values of the geological conditions and safety level of our experiments into Equation (11), as a result detailed optimization model is obtained when the levels of surrounding rock are III, IV.

$$\begin{bmatrix} a \\ l \\ h \end{bmatrix} = \begin{bmatrix} 2.753 & 2.753 & -2.753 \\ 0.171 & 0.171 & 0.171 \\ -6.810 & 6.810 \end{bmatrix} \begin{bmatrix} -15.591 + 0.866A + 0.003H + 1.526\lambda \\ -1.9085 + 0.161A + 0.001H + 0.284\lambda \\ -40.036 + 2.141A + 0.007H + 3.776\lambda \end{bmatrix} (12)$$

In practical engineering applications, the optimal support scheme can be obtained by incorporating the corresponding values of the geological conditions and safety level under specific projects into Equation (12).

5.4 Application

The Liuyang River Tunnel is a key project of the Wuhan– Guangzhou Line, which is the first railway tunnel through the city, river, and highway. It is a long tunnel located in the eastern part of Changsha City, Hunan Province. It has a total length of 10.115 km. The maximum width of excavation exceeds 16 m, the excavation height is more than 13 m, and the cross-sectional area is more than 160 m2. The average depth of the tunnel is between 50 and 60 m. The tunnel is rare among Chinese railway tunnels [22].

The values of the primary support parameters for the surrounding rock in level IV are shown in Table 6.

T	able	6.	Initial	support	parameters
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Depth (m)	Lateral pressure coefficient	Safety degree	Anchor length (m)	Anchor spacing (m)	Shotcrete thickness (mm)
60	1.5	2.0	3.0	1.1	150

Substituting these parameters into Equation (10), we can obtain $F_V = 2.4$. The optimized parameters can be solved in Table 7 according to the obtained optimization model.

Table 7. Optimized parameters

Anchor length	Anchor spacing	Shotcrete thickness
(m)	(m)	(mm)
2.8	1.2	130

By incorporating the optimized parameters into Equation (11) again, the new $F_V = 3.2$ is obtained. The material costs

presented in this paper indicate that the optimal design can save 6.32 million Yuan. The optimized results show that optimization can result in large economic benefits for highspeed railway tunnels.

6. Conclusions

1) Six main factors that affect the stability of surrounding rocks were selected for optimization. These factors included surrounding rock level, depth, lateral pressure coefficient, anchor length, anchor spacing, and shotcrete thickness. Five levels of each factor were considered in several orthogonal experiment designs. Satisfactory uniformity results were obtained.

2) An approximate linear model of support parameters and cost-effectiveness was obtained on the basis of a multiple linear regression analysis following a number of numerical experiments. In addition, the effects of the support parameters on the stability of surrounding rocks were analyzed.

3) The regression analysis results were optimized using the least squares method on the basis of the approximate linear model. A multivariate linear function of cost-effectiveness and support parameters was proposed. By substituting the parameters of geological conditions and safety levels into the function, optimized tunnel support parameters were solved.

4) An optimal design of the Liuyang River Tunnel in the Wuhan–Guangzhou Line was developed. After the optimization, the costs of tunnel support are greatly reduced in comparison with the costs under the original scheme. The results of this work can be utilized as a reference for tunnel support, particularly when surrounding rocks are at level IV.

Acknowledgements

This work was supported by the National Natural Science Foundation of China under the project No. 41401535.

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