Research Article

Analysis and Adaptive Synchronization of Two Novel Chaotic Systems with Hyperbolic Sinusoidal and Cosinusoidal Nonlinearity and Unknown Parameters

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Abstract

This research work describes the modelling of two novel 3-D chaotic systems, the first with a hyperbolic sinusoidal nonlinearity and two quadratic nonlinearities (denoted as system (A)) and the second with a hyperbolic cosinusoidal nonlinearity and two quadratic nonlinearities (denoted as system (B)). In this work, a detailed qualitative analysis of the novel chaotic systems (A) and (B) has been presented, and the Lyapunov exponents and Kaplan-Yorke dimension of these chaotic systems have been obtained. It is found that the maximal Lyapunov exponent (MLE) for the novel chaotic systems (A) and (B) has a large value, viz. for the system (A) and for the system (B). Thus, both the novel chaotic systems (A) and (B) display strong chaotic behaviour. This research work also discusses the problem of finding adaptive controllers for the global chaos synchronization of identical chaotic systems (A), identical chaotic systems (B) and non-identical chaotic systems (A) and (B) with unknown system parameters. The adaptive controllers for achieving global chaos synchronization of the novel chaotic systems (A) and (B) have been derived using adaptive control theory and Lyapunov stability theory. MATLAB simulations have been shown to illustrate the novel chaotic systems (A) and (B), and also the adaptive synchronization results derived for the novel chaotic systems (A) and (B).

Keywords: Chaos, chaotic attractors, Lyapunov exponents, synchronization, adaptive control.

1. Introduction

Chaotic systems are deterministic nonlinear dynamical systems that are highly sensitive to initial conditions (known as “butterfly effect”) and unpredictable on the long term. The characterizing properties of a chaotic system can be enumerated as follows.

1. Strong dependence of the system behaviour on initial conditions.
2. Sensitivity of the system to the changes in the parameters.
3. Presence of strong harmonics in the signals.
4. Fractional dimension of the state space trajectories.
5. Presence of a stretch direction, characterized by a positive Lyapunov exponent.

Chaotic systems are also defined as nonlinear dynamical systems having at least one positive Lyapunov exponent. Lorenz discovered the first chaotic system in 1963 [1], while he was studying weather patterns with a 3-D nonlinear dynamical system. Lorenz experimentally observed that his 3-D model is highly sensitive to even small changes in the initial conditions. Subsequent to Lorenz system [1], many chaotic systems were found in the literature. A few important systems can be cited as Rössler system [2], Rabinovich system [3], Arneodo-Couillet system [4], Shimizu-Morioka system [5], Colpitt’s oscillator [6], Shaw system [7], Chua circuit [8], Rucklidge system [9], Sprott systems [10], Chen system [11], Lü-Chen system [12], Chen-Lee system [13], Tigan system [14], Cai system [15], Li system [16], Wang system [17], Harb system [18], Sundarapandian system [19], Gissinger system [20], etc.

Most of the known chaotic systems in the literature are polynomial systems. Recently, there has been some good interest in the literature in finding novel chaotic systems with exponential nonlinearity such as Wei-Yang system [21], Yu-Wang system [22], Yu-Wang-Wan-Hu system [23], etc. In a related work [24], Yu and Wang have modelled autonomous novel chaotic systems with hyperbolic sinusoidal nonlinearity and a quadratic nonlinearity.

This research work describes two novel 3-D chaotic systems, the first with a hyperbolic sinusoidal nonlinearity and two quadratic nonlinearities (denoted as system (A)) and the second with a hyperbolic cosinusoidal nonlinearity and two quadratic nonlinearities (denoted as system (B)).

This research work provides a detailed qualitative analysis of the novel 3-D chaotic systems (A) and (B). We also obtain the Lyapunov exponents and Kaplan-Yorke dimension of the novel chaotic systems (A) and (B). It is found that the maximal Lyapunov exponent (MLE) for the novel chaotic systems (A) and (B) has a large value. Explicitly, the MLE for the novel chaotic system (A) has been found as \[ L_1 = 11.7943 \]. Also, the MLE for the novel chaotic system (B) has been found as \[ L_1 = 15.1121 \]. Thus, the novel chaotic systems (A) and (B) show strong chaotic behaviour.

Chaos theory has been applied to many branches of science and engineering. A few important applications can be cited as atom optics [25, 26], optoelectronic devices [27, 28],...
chemical reactions [29, 30], ecology [31, 32], cell biology [33, 34], forecasting [35, 36], robotics [37, 38], communications [39, 40], cryptosystems [41, 42], neural networks [43, 44], finance [45], etc.

Synchronization of chaotic systems occurs when a chaotic system called as master system drives another chaotic system called as slave system. Because of the butterfly effect which causes exponential divergence of the trajectories of identical chaotic systems with nearly the same initial conditions, global synchronization of chaotic systems is a challenging problem in the literature.

Chaos synchronization problem of two chaotic systems called as master and slave systems is basically to derive a feedback control law so that the states of the master and slave systems are synchronized or made equal asymptotically with time.

In 1990, a seminal paper on synchronizing two identical chaotic systems was proposed by Pecora and Carroll [46]. This was followed by many different techniques for chaos synchronization in the literature such as active control [47-50], adaptive control [51-54], sliding mode control [55-58], backstepping control [59-62], sampled-data feedback control [63-64], etc.

Active control method is used when the system parameters are available for measurement. When the system parameters are not known, adaptive control method is used and feedback control laws are devised using estimates of the unknown system parameters and parameter update laws are derived using Lyapunov stability theory [65].

After the description and analysis of the novel chaotic systems (A) and (B), this research work deals with the following problems:

2. Adaptive synchronization of identical novel chaotic systems (B).
3. Adaptive synchronization of (non-identical) novel chaotic systems (A) and (B).

This research work is organized as follows. Section 2 describes the novel chaotic systems (A) and (B). The phase portraits of the novel chaotic systems (A) and (B) are depicted in this section. Section 3 describes a detailed qualitative analysis and properties of the novel chaotic system (A). Section 4 describes a detailed qualitative analysis and properties of the novel chaotic system (B). Section 5 describes the adaptive synchronization design of identical chaotic systems (A). Section 6 describes the adaptive synchronization design of identical chaotic systems (B). Section 7 describes the adaptive synchronization design of non-identical chaotic systems (A) and (B). Numerical simulations illustrating the adaptive synchronization designs of identical and non-identical chaotic systems (A) and (B) have been described at the end of Sections 5 to 7. Section 8 concludes this research work with a summary of the main results.

2. Two Novel 3-D Chaotic Systems (A) and (B)

In this section, we describe the two novel 3-D chaotic systems, the first with a hyperbolic sinusoidal nonlinearity and two quadratic nonlinearities (denoted as system (A)) and the second with a hyperbolic cosine/sinusoidal nonlinearity and two quadratic nonlinearities (denoted as system (B)).

The novel system (A) is defined by the 3-D dynamics

\[
\begin{align*}
\dot{x}_1 &= ax_2 - x_1 - x_2 x_3 \\
\dot{x}_2 &= bx_1 - cx_2 x_3 \\
\dot{x}_3 &= -dx_1 + \sinh(x_1 x_2)
\end{align*}
\]

where \(x_1, x_2, x_3\) are states and \(a, b, c, d\) are constant, positive, parameters of the system (1).

We note that the system (A) has a quadratic nonlinearity in each of the first two equations and a hyperbolic sinusoidal nonlinearity in the last equation.

The system (1) exhibits a strange chaotic attractor for the parameter values

\[a = 10, \ b = 92, \ c = 2, \ d = 10\]

For numerical simulations, we have used classical fourth-order Runge-Kutta method (MATLAB) for solving the system (A) when the initial conditions are taken as

\[x_1(0) = 0.4, \ x_2(0) = -0.6, \ x_3(0) = 0.7\]

Fig. 1 depicts the strange attractor of the novel system (A) in 3-D view, while Figs. 2, 3 and 4 depict the 2-D projection of this system (A) in \((x_1, x_2)\), \((x_2, x_3)\) and \((x_1, x_3)\) plane, respectively.

![Strange chaotic attractor of the novel system (A).](image1)

![A 2-D projection of the novel system (A) in the \((x_1, x_2)\) plane.](image2)
The novel system (B) is defined by the 3-D dynamics:

\[
\begin{align*}
\dot{x}_1 &= \alpha(x_2 - x_1) + x_2x_3 \\
\dot{x}_2 &= \beta x_1 - \gamma x_1x_3 \\
\dot{x}_3 &= -\delta x_3 + \cosh(x_1x_2)
\end{align*}
\]  

(3)

where \(x_1, x_2, x_3\) are states and \(\alpha, \beta, \gamma, \delta\) are constant, positive, parameters of the system (3).

We note that the system (B) has a quadratic nonlinearity in each of the first two equations and a hyperbolic cosinusoidal nonlinearity in the last equation.

The system (3) exhibits a strange chaotic attractor for the parameter values

\[
\alpha = 10, \quad \beta = 98, \quad \gamma = 2, \quad \delta = 10
\]  

(4)

For numerical simulations, we have used classical fourth-order Runge-Kutta method (MATLAB) for solving the system (B) when the initial conditions are taken as

\[
x_1(0) = 0.4, \quad x_2(0) = -0.6, \quad x_3(0) = 0.7
\]

Fig. 5 depicts the strange attractor of the novel system (B) in 3-D view, while Figs. 6, 7 and 8 depict the 2-D projection of this system (B) in \((x_1, x_2)\), \((x_2, x_3)\) and \((x_3, x_1)\) plane, respectively.
3. Analysis of the Novel Chaotic System (A) with Hyperbolic Sinusoidal Nonlinearity

A. Symmetry and Invariance
We note that the novel chaotic system (A) is invariant under the coordinates transformation

\[(x_1, x_2, x_3) \rightarrow (-x_1, -x_2, x_3).\]

Thus, the novel chaotic system (A) has rotation symmetry about the \(x_3\)-axis.

B. Dissipativity
In vector notation, the novel chaotic system (A) can be expressed as

\[
\dot{x} = f(x) = \begin{bmatrix}
    f_1(x) \\
    f_2(x) \\
    f_3(x)
\end{bmatrix}
\]

The divergence of the vector field \(f\) on \(R^3\) is given by

\[
\nabla \cdot f = \text{div}(f) = \frac{\partial f_1(x)}{\partial x_1} + \frac{\partial f_2(x)}{\partial x_2} + \frac{\partial f_3(x)}{\partial x_3}
\]

The divergence of the vector field \(f\) measures the rate at which the volumes change under the flow \(\Phi_t\) of \(f\).

Let \(D\) be any given region in \(R^3\) with a smooth boundary. Let \(D(t) = \Phi_t(D)\). Let \(V(t)\) denote the volume of \(D(t)\).

By Liouville’s theorem, we have

\[
\frac{dV(t)}{dt} = \int_{D(t)} (\nabla \cdot f) \, dx \, dy \, dz
\]

Using the equation (1) of the novel system (A), we find that

\[
\nabla \cdot f = \frac{\partial f_1(x)}{\partial x_1} + \frac{\partial f_2(x)}{\partial x_2} + \frac{\partial f_3(x)}{\partial x_3} = -(a + d) < 0
\]

since \(a\) and \(d\) are positive constants.

By substituting the value of \(\nabla \cdot f\) in Eq. (5), we get

\[
\frac{dV(t)}{dt} = -(a + d) \int_{D(t)} dx \, dy \, dz = -(a + d) V(t)
\]

Solving the linear ODE (7), we get the solution

\[
V(t) = V(0) \exp \left( -(a + d)t \right)
\]

From Eq. (8), it follows that any volume \(V(t)\) must shrink to zero exponentially as \(t \to \infty\). Thus, the novel chaotic system (A) is dissipative.

Hence, the asymptotic motion of the novel system (A) settles onto a strange attractor of the novel system (A).

C. Equilibrium Points
We obtain the equilibrium points of the novel chaotic system (A) by solving the nonlinear system of equations:

\[
f(x) = 0
\]

Assume that \(a, b, c, d > 0\) and \(db > c\).

Solving the equations (9), we get three equilibrium points of the novel chaotic system (A), which are described as follows:

\[
E_0 : (0, 0, 0)
\]

\[
E_1 : \left( \sqrt{\frac{ac + b}{ac}} \ln(m), \sqrt{\frac{ac + b}{ac}} \ln\left(\frac{b}{c}\right) \right)
\]

\[
E_2 : \left( -\sqrt{\frac{ac + b}{ac}} \ln(m), -\sqrt{\frac{ac + b}{ac}} \ln\left(\frac{b}{c}\right) \right)
\]

where \(m = p + \sqrt{1 + p^2}\) and \(p = \frac{db}{c}\).

We take the parameter values as in the chaotic case, viz.

\[
a = 10, \quad b = 92, \quad c = 2, \quad d = 10.
\]

Then the three equilibrium points of the novel system (A) are obtained as

\[
E_0 : (0, 0, 0)
\]

\[
E_1 : (6.1819, 1.1039, 46)
\]

\[
E_2 : (-6.1819, -1.1039, 46)
\]

Using the first method of Lyapunov, it is easy to see that \(E_0\) is a saddle point and \(E_1, E_2\) are saddle-foci. Hence, all the equilibrium points of the novel system (A) are unstable.

D. Lyapunov Exponents
We take the initial state as:

\[
\begin{align*}
    x_1(0) &= 0.4, \quad x_2(0) = 1.0, \quad x_3(0) = 0.6 \\
    y_1(0) &= 0.4, \quad y_2(0) = 1.0, \quad y_3(0) = 0.6
\end{align*}
\]

Also, we take the parameters as given in (10). Then the Lyapunov exponents of the novel chaotic system (A) are obtained numerically using MATLAB as

\[
L_1 = 11.7943, \quad L_2 = 0, \quad L_3 = -31.8171
\]

This shows mathematically that the novel system (A) is indeed chaotic. Note that the maximal Lyapunov exponent (MLE) of the novel system (A) is \(L_1 = 11.7943\), which is a large value. Thus, the novel system (A) depicts strong chaotic behaviour.

E. Kaplan-Yorke Dimension
The Kaplan-Yorke dimension of the system (A) is

\[
D_{KY} = j + \frac{1}{|L_{j+1}|} \sum_{i=1}^{j} L_i = 2 + \frac{L_4 + L_2}{|L_3|} = 2.3707
\]

Eq. (14) shows that the system (A) is a dissipative system and the Kaplan-Yorke dimension of the system (A) is fractional. The dynamics of the Lyapunov exponents of the novel
system (A) is shown in Fig. 9.

4. Analysis of the Novel Chaotic System (B) with Hyperbolic Cosinusoidal Nonlinearity

A. Symmetry and Invariance

We note that the novel chaotic system (B) is invariant under the coordinates transformation

\[ (x_1, x_2, x_3) \rightarrow (-x_1, -x_2, x_3). \]

Thus, the novel chaotic system (B) has rotation symmetry about the \( x_3 \)-axis.

B. Dissipativity

In vector notation, the novel chaotic system (B) can be expressed as

\[
\dot{x} = f(x) = \begin{bmatrix} f_1(x) \\ f_2(x) \\ f_3(x) \end{bmatrix}
\]

The divergence of the vector field \( f \) on \( \mathbb{R}^3 \) is given by

\[
\nabla \cdot f = \text{div}(f) = \frac{\partial f_1(x)}{\partial x_1} + \frac{\partial f_2(x)}{\partial x_2} + \frac{\partial f_3(x)}{\partial x_3}
\]

The divergence of the vector field \( f \) measures the rate at which the volumes change under the flow \( \Phi_t \) of \( f \).

Let \( D(t) = \Phi_t(D) \). Let \( V(t) \) denote the volume of \( V(t) \).

By Liouville’s theorem, we have

\[
\frac{dV(t)}{dt} = \int_{D(t)} \nabla \cdot f \, dx \, dy \, dz
\]

Using the equation (3) of the novel system (B), we find that

\[
\nabla \cdot f = \frac{\partial f_1(x)}{\partial x_1} + \frac{\partial f_2(x)}{\partial x_2} + \frac{\partial f_3(x)}{\partial x_3} = -(\alpha + \delta) < 0
\]

since \( \alpha \) and \( \delta \) are positive constants.

By substituting the value of \( \nabla \cdot f \) in Eq. (18), we get:

\[
\frac{dV(t)}{dt} = -(\alpha + \delta) \int_{D(t)} dx \, dy \, dz = -(\alpha + \delta) \, V(t)
\]

Solving the linear ODE (19), we get the solution:

\[
V(t) = V(0) \exp\left(-(\alpha + \delta) \, t \right)
\]

From Eq. (20), it follows that any volume \( V(t) \) must shrink to zero exponentially as \( t \to \infty \). Thus, the novel chaotic system (B) is a dissipative chaotic system.

Hence, the asymptotic motion of the novel system (B) settles onto a strange attractor of the novel system (B).

C. Equilibrium Points

We obtain the equilibrium points of the novel chaotic system (B) by solving the nonlinear system of equations

\[
f(x) = 0
\]

Assume that \( \alpha, \beta, \gamma, \delta > 0 \) and \( \delta \beta > \gamma \).

Solving the equations (21), we get three equilibrium points of the novel chaotic system (B), which are described as follows:

\[
E_0 : (0,0,0)
E_1 : \left( \frac{\alpha \gamma + \beta}{\alpha \gamma + \beta}, \frac{\alpha \gamma + \beta}{\alpha \gamma + \beta}, \frac{\alpha \gamma + \beta}{\alpha \gamma + \beta} \right)
E_2 : \left( -\frac{\alpha \gamma + \beta}{\alpha \gamma + \beta}, -\frac{\alpha \gamma + \beta}{\alpha \gamma + \beta}, -\frac{\alpha \gamma + \beta}{\alpha \gamma + \beta} \right)
\]

where \( n = q + \sqrt{1+q^2} \) and \( q = \frac{\delta \beta}{\gamma} \).

We take the parameter values as in the chaotic case, viz.

\[
\alpha = 10, \quad \beta = 98, \quad \gamma = 2, \quad \delta = 10.
\]

Then the three equilibrium points of the novel system (B) are obtained as:

\[
E_0 : (0,0,0)
E_1 : (6.3747, 1.0805, 49)
E_2 : (-6.3747, -1.0805, 49)
\]

Using the first method of Lyapunov, it is easy to see that \( E_0 \) is a saddle point and \( E_1,E_2 \) are saddle-foci. Hence, all the equilibrium points of the novel system (B) are unstable.

D. Lyapunov Exponents

We take the initial state as

\[
(0) = 0.4, \quad (0) = 1.0, \quad (0) = 0.6
\]

Also, we take the parameters as given in (22). Then the Lyapunov exponents of the novel chaotic system (B) are obtained numerically using MATLAB as:

\[
L_1 = 15.1121, \quad L_2 = 0, \quad L_3 = -34.4401
\]
This shows mathematically that the novel system (B) is indeed chaotic. Note that the maximal Lyapunov exponent (MLE) of the novel system (B) is $L_1 = 15.1121$, which is a large value. Thus, the novel system (B) depicts strong chaotic behaviour.

E. Kaplan-Yorke Dimension
The Kaplan-Yorke dimension of the system (B) is

$$D_{KY} = j + \frac{1}{|L_{j+1}|} \sum_{j=1}^{j} L_j = 2 + \frac{L_1 + L_2}{L_3} = 2.4388$$ (26)

Eq. (26) shows that the system (B) is a dissipative system and the Kaplan-Yorke dimension of the system (B) is fractional.

The dynamics of the Lyapunov exponents of the novel system (B) is shown in Fig. 10.

![Fig. 10. Dynamics of the Lyapunov exponents of the novel system (B).](image)

5. Adaptive Synchronization of the Novel Chaotic Systems (A) with Unknown Parameters

In this section, we shall discuss the adaptive synchronization of the novel chaotic systems (A) with unknown system parameters. Using adaptive control method, we design an adaptive synchronizer, which makes use of estimates of the unknown system parameters, and the error convergence is established for all initial conditions using Lyapunov stability theory [65].

As the master (or drive) system, we consider the novel system (A), which is described by

$$\dot{x}_1 = a(x_3 - x_1) + x_2 x_3$$
$$\dot{x}_2 = b x_1 - c x_1 x_3$$
$$\dot{x}_3 = -d x_3 + \sinh(x_1 x_2)$$

where $x_1, x_2, x_3$ are the states and $a, b, c, d$ are unknown system parameters.

As the slave (or response) system, we consider the controlled novel system (A), which is described by

$$\dot{y}_1 = a(y_3 - y_1) + y_2 y_3 + u_1$$
$$\dot{y}_2 = b y_1 - c y_1 y_3 + u_2$$
$$\dot{y}_3 = -d y_3 + \sinh(y_1 y_2) + u_3$$

where $y_1, y_2, y_3$ are the states and $u_1, u_2, u_3$ are adaptive controllers to be designed.

We define the chaos synchronization error between the systems (27) and (28) as:

$$e_1 = y_1 - x_1$$
$$e_2 = y_2 - x_2$$
$$e_3 = y_3 - x_3$$

The synchronization error dynamics is easily obtained as:

$$\dot{e}_1 = a(e_2 - e_1) + y_2 y_3 - x_2 x_3 + u_1$$
$$\dot{e}_2 = b e_1 - c(y_1 y_3 - x_1 x_3) + u_2$$
$$\dot{e}_3 = -d e_3 + \sinh(y_1 y_2) - \sinh(x_1 x_2) + u_3$$

Next, we introduce the nonlinear controller defined by

$$u_1 = -\hat{a}(t)(e_2 - e_1) - y_2 y_3 + x_2 x_3 - k_1 e_1$$
$$u_2 = -\hat{b}(t)e_1 + \hat{c}(t)(y_1 y_3 - x_1 x_3) - k_2 e_2$$
$$u_3 = -\hat{d}(t)e_3 + \sinh(y_1 y_2) - \sinh(x_1 x_2) - k_3 e_3$$

where $\hat{a}(t), \hat{b}(t), \hat{c}(t), \hat{d}(t)$ are estimates of the unknown system parameters $a, b, c, d$ respectively, and $k_1, k_2, k_3$ are positive gains. By substituting the control law (31) into (30), we get the closed-loop error dynamics as:

$$\dot{\hat{e}}_1 = (a - \hat{a}(t))(e_2 - e_1) - k_1 e_1$$
$$\dot{\hat{e}}_2 = (b - \hat{b}(t))e_1 - (c - \hat{c}(t))(y_1 y_3 - x_1 x_3) - k_2 e_2$$
$$\dot{\hat{e}}_3 = -(d - \hat{d}(t))e_3 - k_3 e_3$$

We define the errors in estimating system parameters as

$$e_p(t) = a - \hat{a}(t)$$
$$e_q(t) = b - \hat{b}(t)$$
$$e_r(t) = c - \hat{c}(t)$$
$$e_d(t) = d - \hat{d}(t)$$

Differentiating (33) with respect to $t$, we obtain

$$\dot{e}_p(t) = -\dot{\hat{a}}(t)$$
$$\dot{e}_q(t) = -\dot{\hat{b}}(t)$$
$$\dot{e}_r(t) = -\dot{\hat{c}}(t)$$
$$\dot{e}_d(t) = -\dot{\hat{d}}(t)$$

The error dynamics (32) can be simplified by using (33) as:

$$\dot{\hat{e}}_1 = e_p(e_2 - e_1) - k_1 e_1$$
$$\dot{\hat{e}}_2 = e_q e_1 - e_r(y_1 y_3 - x_1 x_3) - k_2 e_2$$
$$\dot{\hat{e}}_3 = -e_d e_3 - k_3 e_3$$
Next, we derive an update law for the parameter estimates using Lyapunov stability theory. So, we take a candidate Lyapunov function defined by

\[ V = \frac{1}{2} \left( e_1^2 + e_2^2 + e_3^2 + e_4^2 + e_5^2 + e_6^2 \right), \]  

which is a quadratic and positive-definite function on \( \mathbb{R}^7 \).

Next, we calculate the time-derivative of \( V \) along the trajectories of (34) and (35). We obtain

\[ \dot{V} = -k_1 e_1^2 - k_2 e_2^2 - k_3 e_3^2 - k_4 e_4^2 - k_5 e_5^2 - k_6 e_6^2 \]  

\[ + e_1 \left[ -c_1 (y_1 - x_1) + \dot{c} \right] + e_2 \left[ -c_2 (y_2 - x_2) + \dot{c} \right] \]  

\[ + e_3 \left[ -c_3 (y_3 - x_3) + \dot{c} \right] + e_d \left[ -c_d + \dot{d} \right] \]  

(37)

To guarantee global exponential stability of the systems (34) and (35), we need to choose parameter updates in such a way that \( \dot{V} \) is a quadratic, negative definite function on \( \mathbb{R}^7 \). Thus, we choose the parameter update law as follows:

\[ \dot{a} = e_1 (c_2 - c_1) + k_4 e_d \]
\[ \dot{b} = e_1 c_2 + k_5 e_d \]
\[ \dot{c} = -k_2 (y_1 - x_1) + k_3 e_c \]
\[ \dot{d} = -k_6 e_6 + k_6 e_d \]

(38)

where \( k_4, k_5, k_6, k_7 \) are positive constants.

Next, we prove the main result of this section.

**Theorem 1.** The adaptive controller defined by (31) and the parameter update law defined by (38) globally and exponentially synchronize the novel chaotic systems (A) with unknown parameters described by the equations (27) and (28), where \( k_i, (i = 1, K, 7) \) are positive constants. The parameter estimation errors \( e_a(t), e_b(t), e_c(t), e_d(t) \) exponentially converge to zero with time.

**Proof.** The assertions are established using Lyapunov stability theory [65].

We have already noted that the Lyapunov function \( V \) defined by (36) is quadratic and positive definite on \( \mathbb{R}^7 \).

Next, we substitute the parameter update law defined by (38) into the dynamics (37). This simplifies the dynamics (37) as

\[ \dot{V} = -k_1 e_1^2 - k_2 e_2^2 - k_3 e_3^2 - k_4 e_4^2 - k_5 e_5^2 - k_6 e_6^2 \]

\[ - k_7 e_6^2 - k_8 e_d^2 - k_9 e_d^2 - k_6 e_6^2 - k_6 e_d^2 \]

(39)

which is a quadratic and negative definite function on \( \mathbb{R}^7 \).

Hence, by Lyapunov stability theory [65], the synchronization and parameter estimation errors globally and exponentially converge to zero with time.

This completes the proof. ■

For numerical simulations, the classical fourth order Runge-Kutta method with step size \( h = 10^{-6} \) has been used with MATLAB to solve the chaotic systems (27) and (28) when the adaptive controller (31) and the parameter update law (38) are applied.

We take the positive gains \( k_i \) as \( k_i = 5, (i = 1, K, 7) \).

The values of the system parameters for the systems (27) and (28) are taken as in the chaotic case, viz.

\[ a = 10, \ b = 92, \ c = 2, \ d = 10 \]

The initial state of the master system (27) is taken as:

\[ x_1(0) = 1.2, \ x_2(0) = 3.6, \ x_3(0) = 5.4 \]

The initial state of the slave system (28) is taken as:

\[ y_1(0) = 4.3, \ y_2(0) = 1.7, \ y_3(0) = 2.6 \]

The initial values of the parameter estimates are taken as:

\[ \hat{a}(0) = 2.9, \ \hat{b}(0) = 4.5, \ \hat{c}(0) = 6.8, \ \hat{d}(0) = 5.1 \]

Fig. 11 depicts the complete chaos synchronization of the identical novel chaotic systems (A) described by the equations (27) and (28), while Fig. 12 depicts the time history of the synchronization errors \( e_1(t), e_2(t), e_3(t) \). Also, Fig. 13 depicts the time history of the parameter estimation errors \( e_a(t), e_b(t), e_c(t), e_d(t) \).

![Fig. 11: Complete synchronization of the novel chaotic systems (A).](image1)

![Fig. 12: Time history of the synchronization errors \( e_1(t), e_2(t), e_3(t) \).](image2)
6. Adaptive Synchronization of the Novel Chaotic Systems (B) with Unknown Parameters

In this section, we shall discuss the adaptive synchronization of the novel chaotic systems (B) with unknown system parameters.

As the master (or drive) system, we consider the novel system (B), which is described by

\[ \begin{align*}
  \dot{x}_1 &= \alpha(x_2 - x_1) + x_2 x_3 \\
  \dot{x}_2 &= \beta x_1 - \gamma x_2 x_3 \\
  \dot{x}_3 &= -\delta x_3 + \cosh(x_1 x_2)
\end{align*} \tag{40} \]

where \( x_1, x_2, x_3 \) are the states and \( \alpha, \beta, \gamma, \delta \) are unknown system parameters. As the slave (or response) system, we consider the controlled novel system (B), which is described by

\[ \begin{align*}
  \dot{y}_1 &= \alpha(y_2 - y_1) + y_2 y_3 + u_1 \\
  \dot{y}_2 &= \beta y_1 - \gamma y_2 y_3 + u_2 \\
  \dot{y}_3 &= -\delta y_3 + \cosh(y_1 y_2) + u_3
\end{align*} \tag{41} \]

where \( y_1, y_2, y_3 \) are the states and \( u_1, u_2, u_3 \) are adaptive controllers to be designed. We define the chaos synchronization error between the systems (40) and (41) as:

\[ \begin{align*}
  e_1 &= y_1 - x_1 \\
  e_2 &= y_2 - x_2 \\
  e_3 &= y_3 - x_3
\end{align*} \tag{42} \]

The synchronization error dynamics is easily obtained as:

\[ \begin{align*}
  \dot{e}_1 &= \alpha(e_2 - e_1) + y_2 y_3 - x_2 x_3 + u_1 \\
  \dot{e}_2 &= \beta e_1 - \gamma(y_2 y_3 - x_1 x_3) + u_2 \\
  \dot{e}_3 &= -\delta e_3 + \cosh(y_1 y_2) - \cosh(x_1 x_2)
\end{align*} \tag{43} \]

where \( \hat{\alpha}(t), \hat{\beta}(t), \hat{\gamma}(t), \hat{\delta}(t) \) are estimates of the unknown system parameters \( \alpha, \beta, \gamma, \delta \) respectively, and \( k_1, k_2, k_3 \) are positive gains.

By substituting the control law (44) into (43), we get the closed-loop error dynamics as:

\[ \begin{align*}
  \dot{e}_1 &= (\alpha - \hat{\alpha}(t))(e_2 - e_1) - k_1 e_1 \\
  \dot{e}_2 &= (\beta - \hat{\beta}(t))e_1 - (\gamma - \hat{\gamma}(t))(y_2 y_3 - x_1 x_3) - k_2 e_2 \\
  \dot{e}_3 &= -(\delta - \hat{\delta}(t))e_3 - k_3 e_3
\end{align*} \tag{45} \]

We define the errors in estimating system parameters as:

\[ \begin{align*}
  e_{\alpha}(t) &= \alpha - \hat{\alpha}(t) \\
  e_{\beta}(t) &= \beta - \hat{\beta}(t) \\
  e_{\gamma}(t) &= \gamma - \hat{\gamma}(t) \\
  e_{\delta}(t) &= \delta - \hat{\delta}(t)
\end{align*} \tag{46} \]

Differentiating (46) with respect to \( t \), we obtain

\[ \begin{align*}
  \dot{e}_{\alpha}(t) &= -\dot{\alpha}(t) \\
  \dot{e}_{\beta}(t) &= -\dot{\beta}(t) \\
  \dot{e}_{\gamma}(t) &= -\dot{\gamma}(t) \\
  \dot{e}_{\delta}(t) &= -\dot{\delta}(t)
\end{align*} \tag{47} \]

The error dynamics (45) can be simplified by using (46) as:

\[ \begin{align*}
  \dot{e}_1 &= e_{\alpha}(e_2 - e_1) - k_1 e_1 \\
  \dot{e}_2 &= e_{\beta}(e_1 - e_2) + e_{\gamma}(y_2 y_3 - x_1 x_3) - k_2 e_2 \\
  \dot{e}_3 &= e_{\delta} e_3 - k_3 e_3
\end{align*} \tag{48} \]

Next, we derive an update law for the parameter estimates using Lyapunov stability theory. So, we take a candidate Lyapunov function defined by

\[ V = \frac{1}{2}(e_1^2 + e_2^2 + e_3^2 + e_{\alpha}^2 + e_{\beta}^2 + e_{\gamma}^2 + e_{\delta}^2) \tag{49} \]

which is a quadratic and positive-definite function on \( R^7 \).

Next, we calculate the time-derivative of \( V \) along the trajectories of (47) and (48). We obtain

\[ \dot{V} = -k_1 e_1^2 - k_2 e_2^2 - k_3 e_3^2 + e_{\alpha}[e_2(e_2 - e_1) - \hat{\alpha}] + e_{\beta}[e_1 e_2 - \hat{\beta}] \\
+ e_{\gamma}[e_1 e_2 - \hat{\gamma}(y_2 y_3 - x_1 x_3)] + e_{\delta}(-e_3^2 - \hat{\delta}) \tag{50} \]

To guarantee global exponential stability of the systems (47) and (48), we need to choose parameter updates in such a way that \( \dot{V} \) is a quadratic, negative definite function on \( R^7 \). Thus, we choose the parameter update law as follows:

\[ \begin{align*}
  u_1 &= -\hat{\alpha}(t)(e_2 - e_1) - y_2 y_3 + x_2 x_3 - k_1 e_1 \\
  u_2 &= -\hat{\beta}(t)e_1 + \hat{\gamma}(t)(y_2 y_3 - x_1 x_3) - k_2 e_2 \\
  u_3 &= -\hat{\delta}(t)e_3 - \cosh(y_1 y_2) + \cosh(x_1 x_2) - k_3 e_3
\end{align*} \tag{44} \]
\[
\begin{aligned}
\dot{\alpha} &= e_1(e_2 - e_1) + k_4e_\alpha \\
\dot{\beta} &= e_1e_2 + k_5e_\beta \\
\dot{\gamma} &= -e_2(y_1y_3 - x_1x_3) + k_6e_\gamma \\
\dot{\delta} &= -e_3^2 + k_7e_\delta
\end{aligned}
\]  \tag{51}

where \(k_4, k_5, k_6, k_7\) are positive constants.

Next, we prove the main result of this section.

**Theorem 2.** The adaptive controller defined by (44) and the parameter update law defined by (51) globally and exponentially synchronize the novel chaotic systems (B) with unknown parameters described by the equations (40) and (41), where \(k_i(i = 1, K, 7)\) are positive constants. The parameter estimation errors \(e_\alpha(t), e_\beta(t), e_\gamma(t), e_\delta(t)\) exponentially converge to zero with time.

**Proof.** The assertions are established using Lyapunov stability theory [65].

We have already noted that the Lyapunov function \(V\) defined by (49) is quadratic and positive definite on \(R^7\).

Next, we substitute the parameter update law defined by (51) into the dynamics (50). This simplifies the dynamics (50) as

\[
\begin{aligned}
\dot{V} &= -k_1e_1^2 - k_2e_2^2 - k_3e_3^2 - k_4e_\alpha^2 - k_5e_\beta^2 - k_6e_\gamma^2 - k_7e_\delta^2
\end{aligned}
\]  \tag{52}

which is a quadratic and negative definite function on \(R^7\).

Hence, by Lyapunov stability theory [65], the synchronization and parameter estimation errors globally and exponentially converge to zero with time.

This completes the proof.

For numerical simulations, the classical fourth order Runge-Kutta method with step size \(h = 10^{-8}\) has been used with MATLAB to solve the chaotic systems (40) and (41) when the adaptive controller (44) and the parameter update law (51) are applied.

We take the positive gains \(k_i\) as \(k_i = 5, (i = 1, K, 7)\). The values of the system parameters for the systems (40) and (41) are taken as in the chaotic case, viz:

\[
\begin{aligned}
\alpha &= 10, & \beta &= 98, & \gamma &= 2, & \delta &= 10 \\
\alpha &= 10, & \beta &= 98, & \gamma &= 2, & \delta &= 10
\end{aligned}
\]

The initial state of the master system (40) is taken as:

\[
\begin{aligned}
\chi_1(0) &= 1.3, & \chi_2(0) &= 2.9, & \chi_3(0) &= 0.8
\end{aligned}
\]

The initial state of the slave system (41) is taken as:

\[
\begin{aligned}
\gamma_1(0) &= 2.1, & \gamma_2(0) &= 1.7, & \gamma_3(0) &= 5.3
\end{aligned}
\]

The initial values of the parameter estimates are taken as:

\[
\begin{aligned}
\hat{\alpha}(0) &= 1.6, & \hat{\beta}(0) &= 5.3, & \hat{\gamma}(0) &= 8.2, & \hat{\delta}(0) &= 7.4
\end{aligned}
\]
7. Adaptive Synchronization of the Novel Chaotic Systems (A) and (B) with Unknown Parameters

In this section, we shall discuss the adaptive synchronization of the novel chaotic systems (A) and (B) with unknown system parameters.

As the master (or drive) system, we consider the novel system (A), which is described by

\begin{align}
\dot{x}_1 &= a(x_2 - x_1) + x_2x_3 \\
\dot{x}_2 &= \beta x_1 - \gamma x_2x_3 + c x_3 \\
\dot{x}_3 &= -\delta \dot{x}_3 + \sinh(x_1x_2)
\end{align}

(53)

where \(x_1, x_2, x_3\) are the states and \(a, b, c, d\) are unknown system parameters. As the slave (or response) system, we consider the controlled novel system (B), which is described by

\begin{align}
\dot{y}_1 &= \alpha(y_2 - y_1) + y_2y_3 + u_1 \\
\dot{y}_2 &= \beta y_1 - \gamma y_2y_3 + y_2u_3 \\
\dot{y}_3 &= -\delta \dot{y}_3 + \sinh(y_1y_2) + u_3
\end{align}

(54)

where \(y_1, y_2, y_3\) are the states, \(\alpha, \beta, \gamma, \delta\) are unknown system parameters, and \(u_1, u_2, u_3\) are adaptive controllers to be designed. We define the chaos synchronization error between the systems (53) and (54) as:

\begin{align}
\dot{e}_1 &= y_1 - x_1 \\
\dot{e}_2 &= y_2 - x_2 \\
\dot{e}_3 &= y_3 - x_3
\end{align}

The synchronization error dynamics is easily obtained as:

\begin{align}
\dot{e}_1 &= \alpha(y_2 - y_1) - a(x_2 - x_1) + y_2x_3 - y_2x_3 - u_1 \\
\dot{e}_2 &= \beta y_1 - \beta x_1 + \gamma(y_2x_3 - y_2x_3) + u_2 \\
\dot{e}_3 &= -\delta \dot{x}_3 + \sinh(x_1x_2) - \sinh(y_1y_2) + u_3
\end{align}

Next, we introduce the nonlinear controller defined by

\begin{align}
u_1 &= -\dot{e}_1(y_2 - y_1) - \dot{e}_1(x_2 - x_1) - y_2x_3 + y_2x_3 - k_1e_1 \\
u_2 &= -\dot{e}_2(y_1 - y_2x_3) - \dot{e}_2(y_2x_3 - y_2x_3) + u_2 \\
u_3 &= -\dot{e}_3(y_1x_2 - x_3) - \dot{e}_3(x_1x_2) + k_3e_3
\end{align}

(56)

where \(\dot{a}, \dot{b}, \dot{c}, \dot{d}, \dot{\alpha}, \dot{\beta}, \dot{\gamma}, \dot{\delta}\) are estimates of the unknown system parameters \(a, b, c, d, \alpha, \beta, \gamma, \delta\), respectively, and \(k_1, k_2, k_3\) are positive gains.

By substituting the control law (57) into (56), we get the closed-loop error dynamics as:

\begin{align}
\dot{e}_1 &= \alpha \dot{e}_1(y_2 - y_1) - \alpha \dot{e}_1(x_2 - x_1) - k_1e_1 \\
\dot{e}_2 &= \beta \dot{e}_2(y_1 - y_2x_3) - \beta \dot{e}_2(y_2x_3 - y_2x_3) + c \dot{e}_1x_3 - k_2e_2 \\
\dot{e}_3 &= -\delta \dot{e}_3(y_1x_2) - (d - \dot{d})(t)x_3 - k_3e_3
\end{align}

(58)

We define the errors in estimating system parameters as:

\begin{align}
\dot{e}_1 &= a - \dot{a}t(t), \quad e_1(t) = b - \dot{b}t(t), \quad e_2(t) = c - \dot{c}t(t), \quad e_3(t) = d - \dot{d}t(t) \\
\dot{e}_1 &= \alpha - \dot{\alpha}t(t), \quad e_1 = \beta - \dot{\beta}t(t), \quad e_2 = \gamma - \dot{\gamma}t(t), \quad e_3 = \delta - \dot{\delta}t(t)
\end{align}

(59)

Differentiating (59) with respect to \(t\), we obtain

\begin{align}
\dot{e}_1 &= -\dot{a}t(t), \quad \dot{e}_1(t) = -\dot{b}t(t), \quad \dot{e}_2(t) = -\dot{c}t(t), \quad \dot{e}_3(t) = -\dot{d}t(t) \\
\dot{e}_1 &= -\dot{\alpha}t(t), \quad \dot{e}_1(t) = -\dot{\beta}t(t), \quad \dot{e}_2(t) = -\dot{\gamma}t(t), \quad \dot{e}_3(t) = -\dot{\delta}t(t)
\end{align}

The error dynamics (58) can be simplified by using (59) as:

\begin{align}
\dot{e}_1 &= e_1(y_2(t) - y_1(t)) - e_1(x_2(t) - x_1(t)) - \dot{k}_1e_1 \\
\dot{e}_2 &= e_2(y_1(t) - y_2(t)x_3) - e_2(y_2(t)x_3 - y_2(t)x_3) + \dot{k}_2e_2 \\
\dot{e}_3 &= -\dot{k}_3e_3 + \dot{e}_3(x_1(t)x_2) - \dot{k}_3e_3
\end{align}

(61)

Next, we derive an update law for the parameter estimates using Lyapunov stability theory. So, we take a candidate Lyapunov function defined by

\begin{align}V &= \frac{1}{2}(e_1^2 + e_2^2 + e_3^2 + e_4^2 + e_5^2 + e_6^2 + e_7^2 + e_8^2)
\end{align}

(62)

which is a quadratic and positive-definite function on \(R^{11}\).

Next, we calculate the time-derivative of \(V\) along the trajectories of (60) and (61). We obtain

\begin{align}
\dot{V} &= -k_1e_1^2 - k_2e_2^2 - k_3e_3^2 - e_1 [e_1(y_2(t) - y_1(t)) - \dot{\alpha}t(t)] - e_2 [e_2(y_1(t) - y_2(t)x_3) - \dot{\beta}t(t)] \\
&+ e_3 [e_3(x_1(t)x_2) - \dot{\delta}t(t)] - e_1 [e_1(y_2(t) - y_1(t) - \dot{\alpha}t(t)] - e_2 [e_2(y_1(t) - y_2(t)x_3 - \dot{\beta}t(t)] \\
&+ e_3 [e_3(x_1(t)x_2) - \dot{\delta}t(t)]
\end{align}

(63)

To guarantee global exponential stability of the systems (60) and (61), we need to choose parameter updates in such a way that \(\dot{\mathbf{p}}\) is a quadratic, negative definite function on \(R^{11}\). Thus, we choose the parameter update law as follows:

\begin{align}
\dot{\alpha} &= -e_1(y_2 - y_1) + \dot{k}_1e_1 \\
\dot{\beta} &= -e_2y_1 + \dot{k}_2e_2 \\
\dot{\gamma} &= -e_3x_1x_2 + \dot{k}_3e_3 \\
\dot{\delta} &= e_3x_1 + \dot{k}_3e_3 \\
\dot{\alpha} &= e_1(y_2 - y_1) + \dot{k}_1e_1 \\
\dot{\beta} &= e_2y_1 + \dot{k}_2e_2 \\
\dot{\gamma} &= e_3x_1x_2 + \dot{k}_3e_3 \\
\dot{\delta} &= e_3x_1 + \dot{k}_3e_3
\end{align}

(64)

where \(k_i, i = 4, K, 11\) are positive constants.

Next, we prove the main result of this section.

Theorem 3. The adaptive controller defined by (57) and the parameter update law defined by (64) globally and exponentially synchronize the novel chaotic systems (A) and (B) with unknown parameters described by the equations (53)
and (54), where \( k_i \ (i = 1, K, 11) \) are positive constants. All the parameter estimation errors exponentially converge to zero with time.

**Proof.** The assertions are established using Lyapunov stability theory [65].

We have already noted that the Lyapunov function \( V \) defined by (62) is quadratic and positive definite on \( \mathbb{R}^7 \).

Next, we substitute the parameter update law defined by (64) into the dynamics (63). This simplifies the dynamics (63) as:

\[
\dot{V} = -k_1 e_1^2 - k_2 e_2^2 - k_3 e_3^2 - k_4 e_4^2 - k_5 e_5^2 - k_6 e_6^2 - k_7 e_7^2 - k_8 e_8^2 - k_9 e_9^2 - k_{10} e_{10}^2 - k_{11} e_{11}^2
\]

(65)

which is a quadratic and negative definite function on \( \mathbb{R}^{11} \).

Hence, by Lyapunov stability theory [65], the synchronization and parameter estimation errors globally and exponentially converge to zero with time.

This completes the proof.

For numerical simulations, the classical fourth order Runge-Kutta method with step size \( h = 10^{-8} \) has been used with MATLAB to solve the chaotic systems (53) and (54) when the adaptive controller (57) and the parameter update law (64) are applied. We take the positive gains \( k_i \) as \( k_i = 5, (i = 1, K, 11) \).

The values of the system parameters for the systems (53) and (54) are taken as in the chaotic case, viz.

\[ a = 10, \ b = 92, \ c = 2, \ d = 10, \ \alpha = 10, \ \beta = 98, \ \gamma = 2, \ \delta = 10 \]

The initial state of the master system (53) is taken as:

\[ x_1(0) = 0.8, \ x_2(0) = 2.1, \ x_3(0) = 1.7 \]

The initial state of the slave system (54) is taken as:

\[ y_1(0) = 2.4, \ y_2(0) = 0.2, \ y_3(0) = 3.5 \]

The initial values of the parameter estimates are taken as:

\[ \hat{a}(0) = 3.8, \ \hat{b}(0) = 4.1, \ \hat{c}(0) = 6.7, \ \hat{d}(0) = 5.6, \]

\[ \hat{\alpha}(0) = 2.4, \ \hat{\beta}(0) = 3.8, \ \hat{\gamma}(0) = 5.4, \ \hat{\delta}(0) = 6.2 \]

Fig. 17 depicts the complete chaos synchronization of the identical novel chaotic systems (A) and (B), which are described by the equations (53) and (54) respectively, while Fig. 18 depicts the time history of the synchronization errors \( e_1(t), e_2(t), e_3(t) \). Also, Fig. 19 depicts the time history of the parameter estimation errors \( e_a(t), e_b(t), e_c(t), e_d(t) \). Finally, Fig. 20 depicts the time history of the parameter estimation errors \( e_{a1}(t), e_{b1}(t), e_{c1}(t), e_{d1}(t) \).
Using the Lyapunov stability theory, we have also derived adaptive controllers for synchronizing identical chaotic systems (A), identical chaotic systems (B) and non-identical chaotic systems (A) and (B). MATLAB plots were shown to illustrate the adaptive controller design numerically for the synchronization of the novel chaotic systems (A) and (B).

8. Conclusion

In this research work, we have derived two novel 3-dimensional chaotic systems, the first with a hyperbolic sinusoidal nonlinearity and two quadratic nonlinearities (denoted as system (A)) and the second with a hyperbolic cosinusoidal nonlinearity and two quadratic nonlinearities (denoted as system (B)). First, we provided a detailed qualitative analysis of the two novel chaotic systems (A) and (B). We also calculated the Lyapunov exponents and Kaplan-Yorke dimensions of the chaotic systems (A) and (B). It was found that the maximal Lyapunov exponent (MLE) for the novel chaotic systems (A) and (B) has a large value, viz. $L_1 = 11.7943$ for system (A) and $L_1 = 15.1121$ for system (B).

References

43. G. He, Z. Cao, P. Zhu, and H. Ogura, Neural Networks. 16, 1195 (2003).