

## Analysis of Stiffness Reduction Coefficient of Conventionally Reinforced Concrete Coupling Beams on the Bias of Strut-and-Tie Model

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### Abstract

Stiffness reduction coefficient of coupling beams ( $\kappa$ ) can reflect the stiffness degradation degree at yield and significantly affect the seismic response and the internal force distribution. However, existing calculation methods do not consider the influencing factors comprehensively and have a limited application scope. To effectively predict the stiffness reduction coefficient of conventionally reinforced concrete coupling beams (CCBs), a simplified analysis model was established, and analysis and parameter modification were also implemented. Then, an equation with comprehensive consideration, wide application, and high accuracy was proposed. The proposed equation was verified by comparison with existing test data and calculation methods, and parametric analysis was performed to investigate the independent factors, including the span–depth ratio, longitudinal reinforcement ratio, stirrup ratio and concrete compressive strength. Results show that the independent factors are related to each other, and the span–depth ratio has the greatest influence on the stiffness reduction coefficient of CCBs. Furthermore,  $\kappa$  significantly increases with the longitudinal reinforcement ratio when the coupling beam has a large span–depth ratio, but the stirrup ratio has a bigger role when the span–depth ratio is small. Finally, on the basis of the analysis results, suggestions are made to improve the stiffness reduction coefficient of CCBs. The study results provide a reference for the design and optimization of shear wall and core tube structures.

*Keywords:* Conventionally reinforced concrete coupling beam; stiffness reduction coefficient; strut-and-tie model; effective stiffness

### 1. Introduction

Coupling beams play an important role in shear wall and core tube structures under earthquakes, connecting the wall limbs and transferring the bending moment and shear force. Due to coupling beams are the first seismic line of high-rise buildings, the mechanical properties significantly influence the seismic level of the structures [1,2]. In recent years, numerous experimental studies and theoretical analyses on coupling beams have been conducted, leading to great progress in design method and philosophy, but these efforts are mainly focused on shear strength and deformation capacity [3,4].

When structures subjected to moderate or strong earthquakes, coupling beams yield and the stiffness degrades. The degradation degree directly affects the internal force distribution and the fundamental period. The stiffness reduction coefficient is defined as the ratio of yield stiffness (effective stiffness) to the initial stiffness, which is measured as an important index in seismic performance. In the design of coupling beams, the stiffness reduction coefficients of coupling beams ( $\kappa$ ) should be carefully designed firstly because unreasonable  $\kappa$  values could lead to errors in seismic calculation, thereby influencing the yielding mechanism and optimization design. Therefore, accurately evaluating  $\kappa$  is of great importance.

Thus, researchers have paid increasing attention to the stiffness characteristics of coupling beams, performed finite element analyses, and adopted theoretical methods, but

quantitative analyses [5-7] were seldom performed. The stiffness of coupling beams is affected by various factors, but the existing calculation methods [8,9] do not consider these factors comprehensively, leading to the inaccurate estimation of  $\kappa$ . CCBs are widely used in practical engineering, but the accurate estimation of the stiffness reduction coefficient remains a challenge that requires an urgent solution.

Thus, this study performs model analysis and parameter modification to accurately determine the interaction mechanism of the influencing factors. A novel method for predicting  $\kappa$  is proposed with comprehensive consideration, high accuracy, and wide application. Subsequently, parameter analysis is performed to investigate the influence of the span–depth ratio, longitudinal reinforcement ratio, stirrup ratio and concrete compressive strength on  $\kappa$ . Suggestions are made to improve the stiffness reduction coefficient of CCBs

### 2. State of the art

Given that coupling beams are the first seismic line of shear wall and core tube structures, scholars have conducted numerous studies on coupling beams through tests and finite element analysis. Tian et al. [10,11] conducted a seismic experimental study and performed theoretical analysis on steel-plate-reinforced composite coupling beams (PRCs) with small span–depth ratios and found that most of the shear force is taken over by the steel plate, and the deformation capacity of coupling beams increases. On the basis of Tian's study, seismic tests on PRCs with a medium

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span–depth ratio were conducted by Hou et al. [12] which verified that PRCs exhibit a ductile failure mechanism and were able to dissipate more energy. High damping concrete was applied by Wang et al. [13, 14] to core tubes, which performed well with the embedded steel plate, thus improving the rotation capacity of coupling beams and increasing the energy dissipation. Chen et al. [15] proposed a method for designing the reinforced concrete structures with replaceable coupling beams, and the method was verified by the finite element software ABAQUS. Li et al. [16] applied segmented reinforced CCBs to shear walls and achieved satisfactory results, which demonstrate the strong deformation capacity and good energy consumption of shear walls with such coupling beams. Farsi et al. [17] argued that coupling beams with replaceable steel can not only effectively improve the deformation and energy dissipation capacity of shear walls, but also decrease the damage of wall limbs. The traditional steel-concrete composite beam relies on stud connectors to achieve the necessary shear connection between steel and concrete, but the connection is unreliable when subjected to cyclic load because the connection effect cannot be guaranteed by the low concrete ductility. Thus, Ataei et al.[18] presented a new system in which the precast concrete slab is attached to a steel beam using tensioned high-strength friction-grip bolts in clearance holes as the elements to provide the shear connection; their results show that the structure can form a reliable connection between steel and concrete. Hou et al.[19] proposed a new type of connector in fully assembled steel–concrete composite beams and completed three groups of push-out tests to study the influence of channel types, cyclic loading, and the number of connectors on the shear performance. Their results show satisfactory performance of all the connectors. The coupling beam that uses a hybrid steel truss encased in reinforced mortar has the advantages of easy construction, high shear strength, and good anti-deformation capacity over diagonally reinforced concrete coupling beam (DCB), the reliability of the coupling beam was verified through finite element analysis performed by Chairunnisa et al.[20]. The above-mentioned studies were mainly focused on the shear strength, deformation performance and function reconstruction of coupling beams.

The stiffness characteristics should be considered in structure design because seismic response is a dynamic behavior. However, few studies on stiffness characteristics have been conducted. On the basis of finite element analysis, Huang et al.[21] proposed a formula for predicting the effective stiffness of shear walls, and the calculated values were consistent with the experimental results. Sharifi et al.[22] performed statistical analysis on 154 tests of slender and squat walls and studied the influence of the axial compression, longitudinal reinforcement ratio, and depth-width ratio on the effective stiffness of shear walls. Their results show that the axial compression ratio is the main influencing factor of the effective stiffness of shear walls. Huang et al.[21] and Sharifi et al.[22] studied the effective stiffness of shear walls in which the coupled shear wall were not involved, which are more common in practical projects.  $\kappa$  is of great significance to the seismic performance of the entire structure. Fan et al.[5] studied the stiffness reduction coefficient and equivalent damping ratio of coupling beams by using SAP2000. The method adopted by Fan is effective and can accurately simulate the stiffness degradation of members under minor and moderate earthquakes. Hou et al.[6] carried out elastoplastic analysis to study the damage states of coupling beams and their stiffness reduction

coefficients by using SAUSAGE and discussed the main influencing factors. Xiao et al.[7] developed a new method for estimating  $\kappa$  and its effects on seismic response. First, the pre-determined yield mode design method was improved. Then, the actual  $\kappa$  was calculated based on the inelastic analysis using ABAQUS. However, the finite element analysis is based on a series of model hypothesis, which will lead to non-negligible deviation between the analysis results and actual situation. The above studies only provide analysis methods and qualitatively analyzed the stiffness reduction coefficient of coupling beams. On the basis of 28 tests of the shaking table models by the Chinese Academy of Building Sciences in practical engineering, Chen et al. [23] studied the influence of the stiffness reduction coefficient and damping ratio of coupling beams on high-rise building structures and made design suggestions. However, they did not propose a calculation method for the stiffness reduction coefficient of coupling beam. Paulay et al. [8] proposed a calculating equation for the stiffness reduction coefficient of coupling beam in 1992, but the equation is only as a function of the effective depth–span ratio ( $d/l$ ), but the effects of longitudinal reinforcement ratio, and stirrup ratio are ignored. The analytical approaches proposed by Vu et al. are preferred over the methods above because they comprehensively consider all influencing factors, but the equations are obtained through data analysis and parametric modification, and the interaction mechanism of the influencing factors are not fully considered. Moreover, only the  $l/h \leq 2.5$  coupling beams are involved in the analysis, limiting the application scope; if the coupling beam has a large span–depth or reinforcement ratio, the prediction value might be greater than 100%, which does not occur in practice. Establishing a reasonable simplified analysis model and determining the interaction mechanism of the influencing factors of coupling beams help estimate the stiffness reduction coefficient of coupling beams. Bernardo et al.[24,25] modified the variable angle truss model, and the modified variable angle truss model can be used to effectively simulate the bending and torsion behaviors of precast concrete beams. According to the modified field theory, Shi et al.[26] proposed the calculation equation of the punching shear bearing capacity of slab, which was verified by the test results of 109 reinforced concrete slabs, proving that the modified field theory could effectively simulate the punching shear model of the concrete slabs. Besides, the reasonable simplified analysis model can also be used to analyze the stress of strengthening members. Dhahir et al.[27] analyzed the shear behavior of fiber reinforced polymer (FRP) strengthened beams by using the strut-and-tie model and proposed an equation for calculating the shear bearing capacity; the equation was verified by the test results of 45 deep beams. Corrosion, insufficient anchorage length of reinforcement and cracks deteriorate the strength and stiffness of semi-composite bridges over time, but the available codes and calculation methods fail to consider the influence of these factors, leading to potential safety hazards. 12 tests of semi-composite bridges were also carried out by Desnerck et al.[28] to study the influence of a single factor and multiple factors on the mechanical properties of semi-composite bridges, and correction suggestions were made to enhance the prediction effect of the strut-and-tie model on the bearing capacity of semi-composite bridges. The strut-and-tie model has the advantages of determined the force transfer mechanism and simple calculation, which are conducive to

predicting the stiffness reduction coefficient of coupling beams.

Based on the strut-and-tie model, this study performs model analysis and parameter modification and then derives the calculation equation of  $\kappa$ , which is a function of the span–depth ratio, longitudinal reinforcement ratio, stirrup ratio and concrete compressive strength. The reliability of the equation is verified by comparison with the experimental results from the literature, and the parameters are further analyzed. The effects of various factors on  $\kappa$  are studied, and design suggestions are made to avoid the rapid stiffness degradation of coupling beam. The study results provide a reference for the design and optimization of shear wall and core tube structures..

The remainder of this study is organized as follows. Chapter 3 presents the definition and existing calculation method of  $\kappa$  and establishes a simplified analysis model. Chapter 4 proposes the theoretical equation and verifies its reliability and superiority by comparison with existing test data and calculation methods. Furthermore, relevant parameters are analyzed. Finally, Chapter 5 summarizes this study and draws conclusions.

### 3. Methodology

#### 3.1 Definition of $\kappa$

$\kappa$  is derived as follows:

$$\kappa = \frac{K_c}{K_g} \frac{K_c}{E_c I_g} \bullet \frac{l^3}{12} = \frac{I_c}{I_g} \quad (1)$$

where  $K_c$  is the effective stiffness of the coupling beam,  $K_g$  denotes the initial stiffness of the coupling beam,  $l$  represents the span of the coupling beam,  $E_c$  is the elastic modulus of concrete,  $I_c$  is the effective moment of inertia of the coupling beam, and  $I_g$  is the initial moment of inertia of the coupling beam.

The effective stiffness[29,30], which is generally the secant stiffness of the structure at 75% ultimate strength, can be obtained by the plotting method as shown in Fig. 1.

The effective stiffness of coupling beams is given by:

$$K_c = \frac{V_y}{\Delta_y} \quad (2)$$

where  $V_y$  is the yield lateral force, and  $\Delta_y$  is the yield displacement.

$$\kappa = \frac{I_c}{I_g} = 0.67 \left( 1.8 \frac{l}{d} + 0.4 \frac{l^2}{d^2} \right) (0.9 + 0.7 \rho_v + 1.1 \rho_s) \left( 0.5 + \frac{11}{f_c'} \right) \quad (6)$$

where  $f_c'$  is the compressive strength of concrete cylinder,  $\rho_v$  is the stirrup ratio of the coupling beam, and  $l/d$  is the effective span-depth ratio.

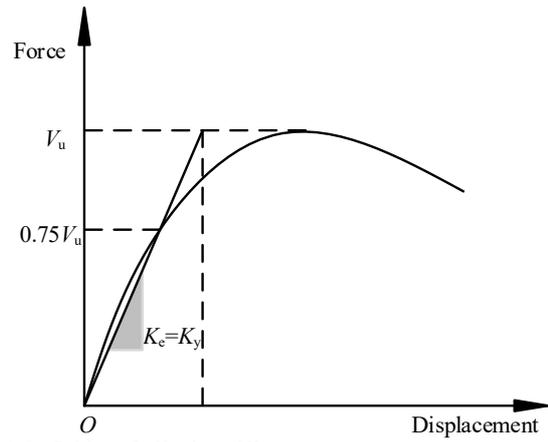


Fig. 1. Definition of effective stiffness

#### 3.2 Existing calculation methods

##### (1) NZS 3101[31]

NZS 3101 recommends an equation for estimating  $\kappa$  solely as a function of depth – span ratio  $d/l$ .

$$I_c = \frac{0.4 I_g}{1 + 8(d/l)^2} \quad (3)$$

where  $d$  is the effective height of the coupling beam.

##### (2) ACI318-14[32]

ACI318-14 consists of two ways of calculating the stiffness reduction coefficient of CCBs, one is  $0.35 E_c I_g$ , and the other is as Eq. (4):

$$I_c = (0.1 + 25 \rho_s) \left( 1.2 - \frac{0.2b}{d} \right) I_g \quad (4)$$

where  $\rho_s$  is the longitudinal reinforcement ratio,  $b$  represents the width of the coupling beam, and  $0.25 I_g \leq I_c \leq 0.5 I_g$ .

##### (3) Paulay[8]

The calculation method of  $\kappa$  proposed by Paulay et al. is only a correlation function of effective depth–span ratio  $d/l$ , as shown in Eq.(5):

$$I_c = \frac{0.2 I_g}{1 + 3(d/l)^2} \quad (5)$$

##### (4) Vu [9]

On the basis of the concrete truss model, Vu et al.[9] proposed two equations for estimating the stiffness reduction coefficients of CCBs and DCBs. The equation of the stiffness reduction coefficient of CCB is expressed as Eq. (6)

#### 3.3 Establishment of simplified analysis model

A reasonable simplified analysis model is helpful to effectively determine the correlation of the influencing factors, but model assumptions lead to a deviation between the calculated value and the test result. Therefore, this study

proposes the calculation equation of  $\kappa$  via model analysis, which is further modified according to the existing test data.

Under the action of horizontal load, the wall limbs are bent and deformed, resulting in a vertical displacement difference at both ends of the coupling beam and forming the bending moment and shear force, as shown in Fig. 2(CB denotes the coupling beam).

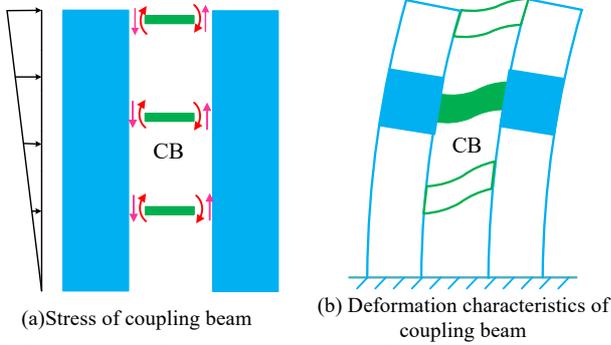
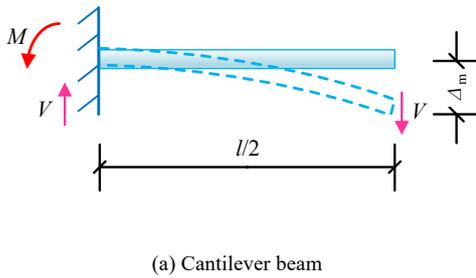


Fig.2. Force and deformation characteristics of coupling beam

Considering that the mechanical behavior of coupling beams under the action of floor slab [33-35] is complicated, the floor effect is seldom considered in the seismic test and analysis model. Thus, the slab effects have not been taken into consideration by the existing stiffness reduction coefficient calculation methods either. Accordingly, the effect of floor slab is ignored in this study. Assuming the wall limbs at both ends of the coupling beam are of the same stiffness, the reverse bend point method[36] can be



(a) Cantilever beam

Fig. 3. Simplified analysis model of CCB

combined with the force and deformation characteristics of the connecting beam (shown in Fig.1) to simplify the analytical approach to transforming coupling beam into the cantilever beam, as shown in Fig. 3-a. Assuming that the compressive stress is transferred to the support in the shortest path, and the deformation at the end of the coupling beam ( $\Delta_{end}$ ) is equal to  $2\Delta_m$  ( $\Delta_m$  is the deformation in the middle portion of the coupling beam). The simplified analysis model (the strut-and-tie model) established in this study is shown in Fig. 3-b. And  $\theta_1$  is the angle of the inclined strut:

$$\tan\theta_1 = 2d/l \quad (7)$$

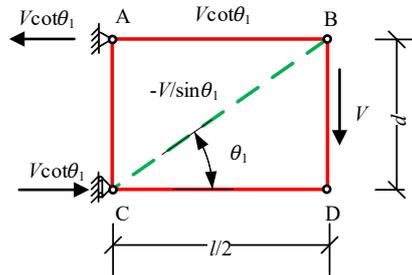
## 4 Result Analysis and Discussion

### 4.1 Theoretical equation

On the basis of the theoretical model in Section 3.3, the vertical deformation in the middle portion of the coupling beam can be obtained as:

$$\Delta = \sum \frac{FfL}{EA} \quad (8)$$

In Fig.3, the deformations of longitudinal chord AB, concrete compression strut BC, and transverse tensile BD are shown in Table 1.



(b) Force of each component of the coupling beam

Table 1. Vertical deformation of each member

Member	F	f	L	EA	Deformation
AB	$V \cot \theta_1$	$\cot \theta_1$	$d \cot \theta_1$	$E_s A_s$	$V d \cot^3 \theta_1 / (E_s A_s)$
BC	$-V / \sin \theta_1$	$-1 / \sin \theta_1$	$d / \sin \theta_1$	$E_c b d \cot \theta_1$	$V c \cot^3 \theta_1 \sec \theta_1 / (b E_c)$
BD	V	1	d	$E_v A_v$	$V d / E_v A_v$

Note:  $E_s$ ,  $E_c$  and  $E_v$  are the elastic modulus of longitudinal reinforcement, concrete and stirrup respectively;  $A_s$  and  $A_v$  are the cross-sectional area of longitudinal reinforcement and stirrup respectively,  $A_s = b d \rho_s$ ,  $A_v = b l \rho_v / 2$ .

The vertical deformation at the end of the coupling beam under yield force can be expressed as Eq. (9):

$$\Delta_{y,end} = \frac{2dV_y \cot^3 \theta_1}{\rho_s E_s b d} + \frac{2V_y \csc^3 \theta_1 \sec \theta_1}{b E_c} + \frac{4dV_y}{\rho_v E_v b l} \quad (9)$$

On the basis of Eqs. (2), (7), and (9), the effective stiffness of the coupling beam can be written as Eq.(10):

$$K_c = \frac{b \rho_v E_c \cot \theta_1}{\frac{2E_c \rho_v}{E_s \rho_s} \cot^4 \theta_1 + 2\rho_v \csc^4 \theta_1 + \frac{2E_c}{E_v}} \quad (10)$$

Let  $n_{vc} = E_v/E_c$ ,  $\zeta = \rho_v/\rho_s$ , and  $n_{vs} = E_v/E_s$ , then, Eq. (10) can be transformed into:

$$K_c = \frac{n_{vc} b \rho_v E_c \cot \theta_1}{2\zeta n_{vs} \cot^4 \theta_1 + 2n_{vc} \rho_v \csc^4 \theta_1 + 2} \quad (11)$$

Based on Eqs. (1) and (11),  $\kappa$  is computed as follows:

$$\kappa = \frac{4n_{vc}\rho_v \frac{l^4}{dh^3}}{\xi n_{vs} \frac{l^4}{d^4} + n_{vc}\rho_v \left(\frac{l^2}{d^2} + 4\right)^2 + 16} \quad (12)$$

where  $h$  is the height of the coupling beam.

The Chinese Code for Design of Concrete Structures GB50010-2010[37] suggests the modulus of elasticity of concrete to be calculated as follows:

$$E_c = \frac{10^5}{2.2 + 34.7/f_{cu,k}} \quad (13)$$

Given the commonly used steel have similar elastic modulus, and assuming that  $E_s = E_v = 2.0 \times 10^5$  MPa, then  $n_{vs} = 1$ ,  $n_{vs} = n_{sc} = n$ , and the modulus ratio can be calculated as:

$$n = 4.4 + \frac{69.4}{f_{cu,k}} \quad (14)$$

Because the effective depth  $d$  is always close to  $0.9h$ , hence assuming that  $d=0.9h$ , then Eq. (14) can be transformed into Eq. (15):

$$\kappa = \frac{4.44n\rho_v\rho_s l^4/h^4}{1.52\rho_v l^4/h^4 + n\rho_v\rho_s(1.23l^2/h^2 + 4)^2 + 16\rho_s} \quad (15)$$

#### 4.2 Equation modification

The Bauschinger effect and the bond-slip deformation are not considered in Eq. (15), which result in the overestimation of the stiffness reduction coefficient of CCBs. Through modification, Eq. (15) is transformed into:

$$\kappa = \frac{4.44n\rho_v\rho_s l^4/h^4}{1.52\rho_v l^4/h^4 + n\rho_v\rho_s(1.23l^2/h^2 + 4)^2 + 32\rho_s} \quad (16)$$

where  $l/h$  is the span–depth ratio of the coupling beam, and  $n$  is the ratio of elastic modulus of steel bar to concrete and can be calculated by Eq. (14).

#### 4.3 Equation verification

The proposed equation was verified by 20 tests of CCBs under low-cyclic reversed load. Table 2 shows the comparison between the experimental results and the calculation values.  $\kappa_{exp}$  represents the experimental value, and  $\kappa_{Vu}$ ,  $\kappa_{pro15}$ , and  $\kappa_{pro16}$  represent the stiffness reduction coefficients calculated by Vu and Eqs. (15) and (16), respectively. Cubes of 150mm×150mm×150mm and cylinders of  $\Phi 150$ mm×300mm are always tested to measure the concrete compressive strength, and they can be converted by  $f'_c = 0.8f_{cu}$ . Fig. 4 shows the comparison between the predicted values of other theoretical equations and the test values.

The failure mode of coupling beam is directly influenced by span–depth ratio  $l/h$ . Shear failure mode is dominant in the  $l/h \leq 2.5$  coupling beam in which higher requirements are demanded. The  $l/h > 5$  coupling beam has a similar mechanical performance with the frame beam. Table 3 lists the estimated stiffness reduction coefficients of the coupling beams with different span–depth ratios, and  $\chi$  is the ratio of the experimental stiffness reduction coefficient to the estimated value.

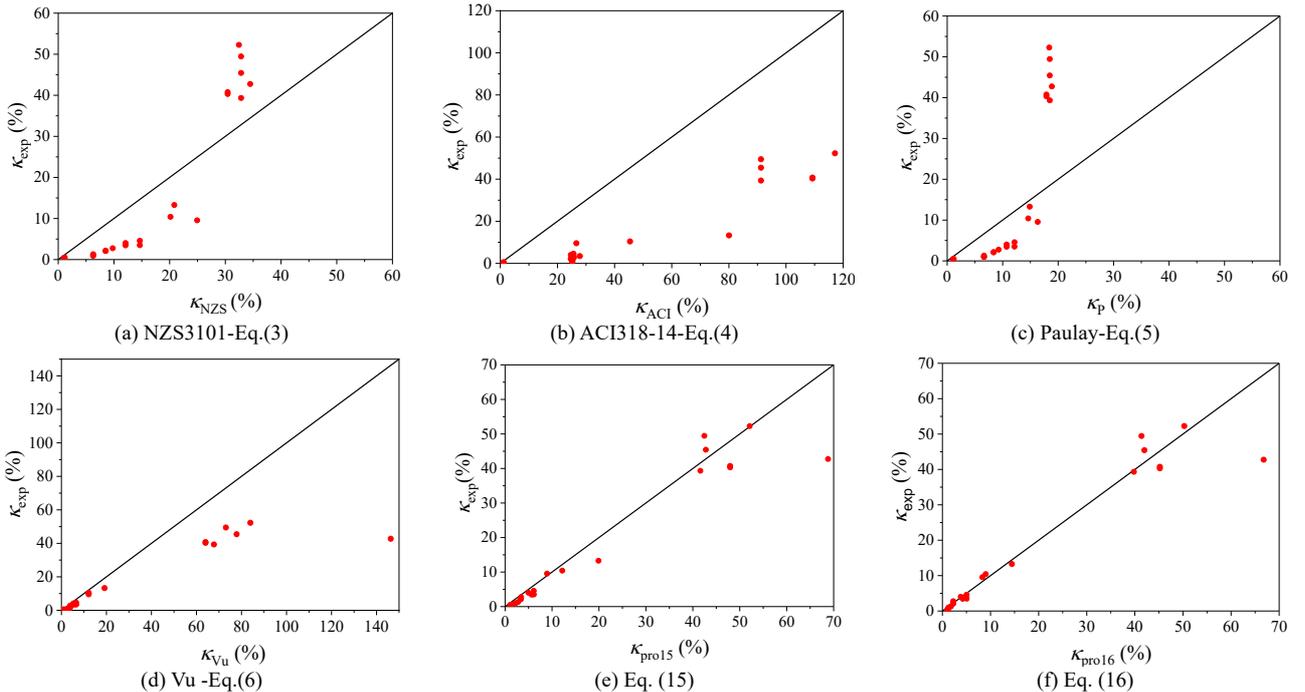


Fig. 4. Comparison between experimental results and prediction results

**Table 2.** Comparison between test results and prediction results for stiffness reduction coefficient of CCBs

Ref	Specimen	$f_{cu}$ (MPa)	$\rho_v$ (%)	$\rho_s$ (%)	$l/h$	$l/d$	$\kappa_{exp}$ (%)	$\kappa_{pro15}$ (%)	$\kappa_{exp} / \kappa_{pro15}$	$\kappa_{pro16}$ (%)	$\kappa_{exp} / \kappa_{pro16}$	$\kappa_{Vu}$ (%)	$\kappa_{exp} / \kappa_{Vu}$
[38]	Unit1	50.2	0.55	1.31	2.5	2.85	10.41	12.18	0.854	9.00	1.156	12.14	0.879
[39]	Specimen2	56.4	0.86	2.61	2.5	2.95	13.28	19.91	0.667	14.44	0.920	19.16	0.693
[40]	CCB1	52	1.12	0.47	1.17	1.22	0.99	2.15	0.461	1.31	0.757	3.14	0.315
	CCB2	52	1.12	0.46	1.40	1.47	2.08	3.33	0.624	2.24	0.928	3.94	0.528
	CCB3	52	1.12	0.58	1.75	1.86	3.46	5.64	0.613	4.22	0.820	5.63	0.615
	CCB4	52	1.12	0.51	2	2.15	3.50	6.12	0.572	5.04	0.694	6.58	0.532
	CCB12	52	1.68	0.47	1.17	1.22	1.30	2.74	0.475	1.77	0.735	3.71	0.351
[41]	MCB1	52.5	1.12	0.47	1.17	1.22	0.94	2.14	0.438	1.31	0.720	3.14	0.300
	MCB2	52.5	1.12	0.46	1.4	1.47	2.17	3.33	0.652	2.24	0.970	3.92	0.553
	MCB3	52.5	1.12	0.47	1.75	1.86	4.02	4.96	0.811	3.82	1.052	5.32	0.756
	MCB4	52.5	1.12	0.51	2	2.15	4.58	6.11	0.750	5.03	0.911	6.56	0.699
[42]	P01	61.1	0.84	0.52	1.5	1.61	2.76	3.42	0.807	2.25	1.229	3.94	0.701
[43]	FB33	51.8	0.61	0.61	3.33	3.64	9.56	8.94	1.069	8.32	1.149	12.14	0.787
[44]	L1	37.3	1.15	2.92	5.36	6.05	49.46	42.45	1.165	41.39	1.195	73.06	0.677
	L2	37.3	1.61	2.92	5.36	6.05	45.44	42.77	1.062	41.99	1.082	77.84	0.584
[45]	L-A	37.3	1.15	3.85	5.17	5.86	52.27	52.11	1.003	50.27	1.040	83.95	0.623
	L-C1	37.3	1.15	3.65	4.46	5.04	40.31	47.94	0.841	45.20	0.892	64.02	0.630
	L-C2	37.3	1.15	3.65	4.46	5.04	40.76	47.94	0.850	45.20	0.902	64.02	0.637
	L-D	37.3	0.64	2.92	5.36	6.05	39.34	41.60	0.946	39.80	0.989	67.76	0.581
	L-E	37.3	1.12	5.47	5.83	7.06	42.75	68.81	0.621	66.77	0.640	146.3	0.292
Mean									0.777		0.939		0.587
Variable coefficient									0.214		0.175		0.164

**Table 3.** Statistic indicators of estimated results for stiffness reduction coefficient of CCBs

Theoretical equation	$l/h \leq 2.5$		$2.5 < l/h \leq 5$		$l/h > 5$		Total	
	$\chi_m$	$\chi_{cov}$	$\chi_m$	$\chi_{cov}$	$\chi_m$	$\chi_{cov}$	$\chi_m$	$\chi_{cov}$
NZS3101	0.302	0.142	1.016	0.548	1.388	0.174	0.681	0.538
Paulay	0.355	0.226	1.706	0.970	2.474	0.291	1.088	1.025
ACI318	0.117 (0.118)	0.060 (0.110)	0.367 (0.863)	0.007 (0.511)	0.440 (1.310)	0.099 (0.147)	0.235 (0.528)	0.164 (0.569)
Vu	0.577	0.183	0.684	0.089	0.551	0.040	0.586	0.164
Eq. (15)	0.665	0.163	0.920	0.129	0.960	0.117	0.777	0.214
Eq. (16)	0.908	0.174	0.981	0.146	0.989	0.109	0.939	0.175

Note:  $\chi_m$  and  $\chi_{cov}$  are the average value and variation coefficient of  $\chi$ , respectively. The datas in brackets are the calculation results when ACI 318-14 takes the stiffness reduction coefficient coupling beam of 0.35.

From the comparisons in Tables 2 and 3 and Fig. 4, the following conclusions can be drawn:

(1) The theoretical equations provided by NZS 3101 and Paulay only consider the influence of the effective span–depth ratio but ignore the affecting factors of longitudinal reinforcement ratio and stirrup ratio, thus leading to overestimation or underestimation of the stiffness reduction coefficient of CCBs. The predicted values were higher than the test results when  $l/h \leq 2.5$ , but lower than the test values when  $l/h > 5$ . The prediction of CCBs using Eq. (5) (ACI318) was too large. Although the theoretical equation proposed by Vu et al. comparatively considers the effects of the span–depth ratio, longitudinal reinforcement ratio, stirrup ratio and concrete compressive strength, the comparison shows that the stiffness reduction coefficients of CCBs are overestimated by Vu (Eq. 5), and the prediction to L-3[45] is 146.3%, which violates the engineering practice.

(2) The proposed calculation Eq. (15) can predict the stiffness reduction coefficient of CCBs well, but the prediction is slightly higher than the test results, because the Bauschinger effect and the bond-slip deformation are not considered. The modified equation, Eq. (16), offers a better estimation. The average value of  $\kappa_{exp} / \kappa_{pro16}$  is 0.939, and the coefficient of variation is 0.175, indicating that Eq. (16) can accurately estimate the stiffness reduction coefficient of CCBs.

#### 4.4 Parameter analysis

For a better understanding of the influencing factors of  $\kappa$ , parametric analyses of Eq. (16) are carried out to study the effects of span–depth ratio  $l/h$ , longitudinal reinforcement ratio  $\rho_s$ , stirrup ratio  $\rho_v$ , and the compressive strength of concrete cube  $f_{cu}$ . The parameters are listed in Table 4, and the analysis results are shown in Fig. 6. Specimen Unit 1 with the span–depth ratio of 2.5[38] is taken as the reference specimen in the parametric study, the details is shown in Fig. 5, and the concrete cube compressive strength  $f_{cu}$  is 50.2 MPa.

**Table 4.** Parameter variations of CCBs

Parameters	Various factors			
	$l/h$	$\rho_v / \%$	$\rho_s / \%$	$f_{cu} / \text{MPa}$
$l/h$	1.5	2.5	3.5	5
$\rho_v / \%$	0.55	1.15	1.61	
$\rho_s / \%$	0.61	1.29	2	
$f_{cu} / \text{MPa}$	40	50	60	

Fig. 6 shows correlation between the effects of the impacting factors on the stiffness reduction coefficients of CCB and that  $\kappa$  increases with the span–depth ratio, longitudinal reinforcement ratio, and stirrup ratio. The span–depth ratio is the most significant factor on the stiffness reduction coefficient of CCB, which increases significantly

with the longitudinal reinforcement ratio when the coupling beam has a large span–depth ratio. When the span–depth ratio is small, the stirrup ratio has a bigger role.  $\kappa$  decreases with the increase of the concrete compressive strength, but the effect is less important. This change trend is basically consistent with the former study by Vu et al.[9]

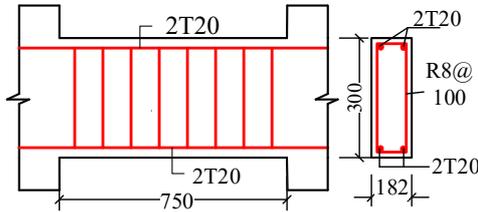


Fig. 5. Details of Unit1 (in mm)

The stiffness reduction coefficient of coupling beam can reflect the stiffness degradation degree when the coupling beam yields. The span–depth ratio is the main influencing factor of the deformation performance and failure mode and directly affects the stiffness reduction coefficient of CCB. The coupling beam with a small span–depth ratio cannot give full play to its deformation performance due to excessive shear force, resulting in rapid stiffness degradation and the decrease of  $\kappa$ . When  $l/h$  is large,

the coupling beam has a strong deformation capacity, the stiffness degrades slowly, and  $\kappa$  increases accordingly. Shear force is enhanced with the decrease of the span–depth ratio, and stirrup ratio increase can effectively enhance the shear capacity, preventing the premature shear failure of coupling beams and can slow down the stiffness degradation. Bending failure plays a controlling role for coupling beam with a large span–depth ratio. Within a certain range, the effective stiffness of coupling beams can be improved by increasing the longitudinal reinforcement ratio. Enhancing the concrete compressive strength can improve both the effective and initial stiffness of the coupling beam, but the initial stiffness increases faster, thereby decreasing the stiffness reduction coefficient. Conventionally used concrete has a similar elastic modulus. Thus, the stiffness reduction coefficient of the coupling beam has little influence.

The stiffness reduction coefficient of coupling beam should not be extremely small to avoid the sudden change of internal force, which will affect the energy dissipation mechanism and the failure mode. On the basis of the analysis above, the use of a double-coupling-beam is suggested to increase the stiffness reduction coefficient of the coupling beam when a small span–depth ratio is unavoidable.

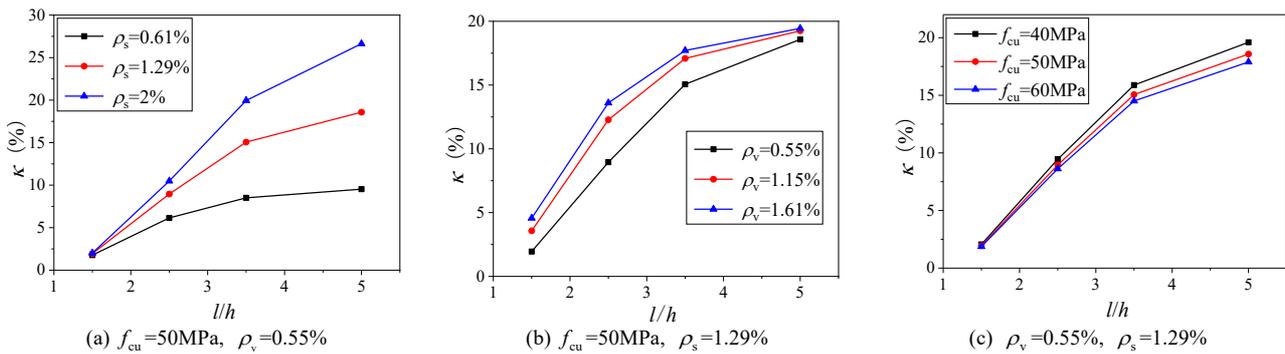


Fig. 6. Influence of various parameters on stiffness reduction coefficients of CCBs

## 5 Conclusion

To effectively predict the stiffness reduction coefficient of CCBs and reveal the correlation of various influencing factors, this study derived the calculation equation by model analysis and parameter modification. Parameter analysis was carried out, and the following conclusions could be drawn:

(1) Eq. (16) is a correlation function of concrete compressive strength, longitudinal reinforcement ratio, stirrup ratio, and span–depth ratio. The reliability of the equation was verified by comparison with the test results obtained from the literature. The proposed method has the advantages of high prediction accuracy, wide application scope, and comprehensive consideration.

(2) The stiffness reduction coefficient of CCBs increases with the span–depth ratio, longitudinal reinforcement ratio, and stirrup ratio, and the factors are interrelated. The span–depth ratio ( $l/h$ ) is the main influencing factor. Furthermore, when the coupling beam has a large span–depth ratio,  $\kappa$  significantly increases with the longitudinal reinforcement ratio;  $\kappa$  decreases with the increase of the concrete compressive strength, but the influence is less obvious.

(3) When the coupling beam has a small span–depth ratio, a double-coupling-beam is suggested to avoid the excessive stiffness degradation of the coupling beam

stiffness during yielding; otherwise, the structural failure mode and the energy dissipation mechanism will be influenced.

A theoretical equation is proposed to effectively predict the stiffness reduction coefficient of CCBs in this study. Compared with the existing methods, the proposed equation has the advantages of comprehensive consideration and wide application scope. However, this study only analyzed CCBs, and the calculation equation did not consider the floor effect. Other types of coupling beams and the floor effect should be further studied.

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